AdS/CFT Spring School

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Introduction to Integrability in AdS/CFT II: Part 1

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Introduction

Recall:

 $\odot \mathcal{N}=4$ SYM is a (super)conformal field theory \odot In planar limit, has 1 free parameter λ \odot We want to determine $\Delta(\lambda)$ for all (local, gauge-invariant, single-trace) operators, for all λ I-loop (weak coupling) dilatation operator for scalars in SU(2) subsector: $\operatorname{tr} X(x)^M Z(x)^{L-M} + \dots$

$$\Gamma = \frac{\lambda}{8\pi^2} H, \quad H = \sum_{l=1}^{L} \left(1 - \mathcal{P}_{l,l+1} \right)$$

quantum spin chain Hamiltonian

Problem: to determine eigenvectors & eigenvalues Solved exactly! [Bethe 31] Approach used by Bethe is now known as "coordinate" Bethe ansatz

A different approach was developed later, called Quantum Inverse Scattering Method (QISM) & "algebraic" Bethe ansatz

[Yang, Gaudin, Baxter, Zamolodchikov², Faddeev, Kulish, Sklyanin, ...]

Each approach has its advantages/disadvantages
It is essential to learn both for AdS/CFT!

(also for applications in statistical mechanics, condensed matter,...)

Plan: quantum integrability "toolkit"

quantum spin chains
Yang-Baxter equations
quantum inverse scattering method
algebraic Bethe ansatz
analytical Bethe ansatz

Quantum spin chains

Example: system of L fixed particles with spin 1/2

L=1: The Hilbert space is $V=\mathcal{C}^2$ 2 dims with elements $x=inom{x_1}{x_2}, \quad x_i\in\mathcal{C}$

The observables are the Pauli matrices $ec{\sigma}=(\sigma^x,\sigma^y,\sigma^z)$

For L>1, need tensor product

For vectors:

$$\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \otimes \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} = \begin{pmatrix} x_1 y_1 \\ x_1 y_2 \\ \hline x_2 y_1 \\ x_2 y_1 \\ x_2 y_2 \end{pmatrix}$$

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Permutation matrix

$$\mathcal{P}_{12} \equiv \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$\mathcal{P}_{12} \left[\begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \otimes \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \right] = \begin{pmatrix} y_1 \\ y_2 \end{pmatrix} \otimes \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

check:

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} x_1y_1 \\ x_1y_2 \\ \hline x_2y_1 \\ x_2y_2 \end{pmatrix} = \begin{pmatrix} x_1y_1 \\ \frac{x_2y_1}{x_1y_2} \\ \frac{x_1y_2}{x_2y_2} \end{pmatrix}$$

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Tensor product of matrices:

$$\left(\begin{array}{cc} x_{11} & x_{12} \\ x_{21} & x_{22} \end{array}\right) \otimes \left(\begin{array}{cc} y_{11} & y_{12} \\ y_{21} & y_{22} \end{array}\right) =$$

$x_{11}y_{11}$	$x_{11}y_{12}$	$x_{12}y_{11}$	$x_{12}y_{12}$
$x_{11}y_{21}$	$x_{11}y_{22}$	$x_{12}y_{21}$	$x_{12}y_{22}$
$x_{21}y_{11}$	$x_{21}y_{12}$	$x_{22}y_{11}$	$x_{22}y_{12}$
$x_{21}y_{21}$	$x_{21}y_{22}$	$x_{22}y_{21}$	$x_{22}y_{22}$

L=2: The Hilbert space is $V \otimes V$ \uparrow \uparrow The observables are



 $ec{\sigma}_1 \equiv ec{\sigma} \otimes I \,, \quad ec{\sigma}_2 \equiv I \otimes ec{\sigma}$

 $\left| I = \left(\begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array} \right) \right|$

Related by permutation matrix $\vec{\sigma}_2 = \mathcal{P}_{12} \ \vec{\sigma}_1 \ \mathcal{P}_{12}$ $\vec{\sigma}_1 = \mathcal{P}_{12} \ \vec{\sigma}_2 \ \mathcal{P}_{12}$

Subscript denotes the vector space on which the operator acts nontrivially!

general L: The Hilbert space is $V \otimes \cdots \otimes V$ 2^{L} dimsThe observables are $\vec{\sigma}_n = I \otimes \cdots I \otimes \vec{\sigma} \otimes I \otimes \cdots \otimes I$ $n = 1, \dots, L$ \uparrow \uparrow \uparrow

Hamiltonian? Many possibilities! We consider here

n

$$H = \frac{1}{2} \sum_{n=1}^{L} (I - \vec{\sigma}_n \cdot \vec{\sigma}_{n+1}) = \sum_{n=1}^{L} (I - \mathcal{P}_{n,n+1})$$

PBCs $\vec{\sigma}_{L+1} \equiv \vec{\sigma}_1$

"Heisenberg (XXX) quantum spin chain"
1-dim model of ferromagnetism
1-loop mixing matrix in SU(2) subsector of N=4 SYM

Basic problem: $H|\psi\rangle = E|\psi\rangle$ (*) H is $2^{L} \times 2^{L}$ matrix ... Brute-force diagonalization is not an option for L > 10Fortunately, as we shall see, this model is integrable; so there ARE other options! Hint of integrability: H commutes with $\sum_{n=1}^{L} \vec{\sigma}_n \cdot (\vec{\sigma}_{n+1} \times \vec{\sigma}_{n+2})$ n=1There is a beautiful, systematic way of constructing such conserved quantities & solving (*) To explain, we must digress...

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Yang-Baxter equation (YBE)

Consider "R-matrix":

 $R(u) \equiv uI \otimes I + i\mathcal{P} = \begin{pmatrix} u+i & & & \\ & u & i & \\ & & i & u & \\ & & & u+i \end{pmatrix} = \begin{pmatrix} a & & & \\ & b & c & \\ & & c & b & \\ & & & a \end{pmatrix}$

a = u + i, b = u, c = i

u: "spectral parameter" [eventually, parameter of the generating function for conserved quantities]

We regard R(u) as an operator on $V\otimes V$

Let's now use R(u) to construct operators on $V \otimes V \otimes V$

Operators on $V \otimes V \otimes V$: $\uparrow \qquad \uparrow \qquad \uparrow$ $1 \qquad 2 \qquad 3$

$$R_{12}(u) \equiv R(u) \otimes I = \begin{pmatrix} a & & \\ \hline & b & c \\ \hline & c & b \\ \hline & & a \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} a & & & \\ \hline & b & c \\ \hline & & b & c \\ \hline & & c & b \\ \hline & & c & b \\ \hline & & & a \end{pmatrix}$$

a

a

$$R_{23}(u) \equiv I \otimes R(u) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} a & | \\ \hline c & b & | \\ \hline c & b & | \\ \hline c & b & | \\ \hline & a & | \\ \hline & & a & | \\ \hline & & & a & | \\ \hline & & & & b & c \\ \hline & & & & c & b \\ \hline & & & & c & b \\ \hline \end{array}$$

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$$R_{13}(u) \equiv \mathcal{P}_{23} R_{12}(u) \mathcal{P}_{23}$$

$$\mathcal{P}_{23} \equiv I \otimes \mathcal{P} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\ 1 & | \\$$

$$R_{13}(u) = \begin{pmatrix} a & & & & \\ & b & c & & \\ & a & & & \\ \hline & c & b & & \\ & & c & b & \\ \hline & & c & b & \\ \hline & & c & b & \\ \hline & & & a & \\ \hline & & & & a \end{pmatrix}$$

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$R_{12}(u-u') R_{13}(u) R_{23}(u') = R_{23}(u') R_{13}(u) R_{12}(u-u')$

- This is the famous YBE!
- Can regard as an equation to be solved for R(u)
- Many families of solutions known
- SU(2)-invariant, solution $[g \otimes g, R(u)] = 0, \quad g \in SU(2)$

u'

U

3

u - u'

3

U

u

 $R_{ij}(u) \sim i \swarrow_{u}$

Question: Why should we care about this?

Answer: As we shall now see, for each regular $(R(0) \propto P)$ solution of YBE, we can construct a local integrable spin chain! Quantum Inverse Scattering Method (QISM) Basic idea: Use R-matrix to construct the Hamiltonian and higher local conserved quantities

key step: introduce an additional copy of vector space V "auxiliary" space

$$V \otimes V \otimes \cdots \otimes V$$

 \uparrow \uparrow \uparrow \uparrow
 $0 \quad 1 \qquad L$

 $T_{\mathbf{0}}(u) \equiv R_{\mathbf{0}L}(u) \cdots R_{\mathbf{0}1}(u)$

"monodromy matrix"

$$\sim 0 \frac{1}{u}$$

"Fundamental Relation" (FR):

$$R_{00'}(u-u') T_0(u) T_{0'}(u') = T_{0'}(u') T_0(u) R_{00'}(u-u')$$

Proof (L=2):

 $LHS = R_{00'}(u - u') R_{02}(u) R_{01}(u) R_{0'2}(u') R_{0'1}(u')$

All spaces different

 $= R_{00'}(u - u') R_{02}(u) R_{0'2}(u') R_{01}(u) R_{0'1}(u')$

YBE

 $= R_{0'2}(u') R_{02}(u) R_{0'1}(u') R_{01}(u) R_{00'}(u - u')$ All spaces different $= R_{0'2}(u') R_{0'1}(u') R_{02}(u) R_{01}(u) R_{00'}(u - u') = RHS$

Graphical proof:





$$t(u) = \operatorname{tr}_0 T_0(u)$$

"transfer matrix"

Acts on $V \otimes \cdots \otimes V$ (same as spin-chain Hamiltonian!) 1 L
1-parameter family of commuting operators:

[t(u), t(u')] = 0

Proof: $R_{00'}(u - u') T_{0}(u) T_{0'}(u') = T_{0'}(u') T_{0}(u) R_{00'}(u - u') \quad \text{FR}$ trace $tr_{00'} R_{00'}(u - u') T_{0}(u) T_{0'}(u') R_{00'}(u - u')^{-1} = tr_{00'} T_{0'}(u') T_{0}(u)$ cyclic property of trace $tr_{00'} T_{0}(u) T_{0'}(u') = tr_{00'} T_{0'}(u') T_{0}(u)$ $t(u) t(u') = t(u') t(u) \quad \square$ The transfer matrix is a generating function for local conserved quantities:

$$\ln t(u) = \sum_{n=0}^{\infty} \frac{u^n}{n!} H_n$$

Can show that H_1 is the Heisenberg Hamiltonian, H_2 is the next conserved charge, etc.

$$[t(u), t(u')] = 0 \quad \Rightarrow \quad [H_n, H_m] = 0$$

Infinitely many conserved commuting local quantities integrable!

Starting from other regular R-matrices, obtain corresponding local integrable spin-chain Hamiltonians

\odot interpretation of H_0

$$t(0) = i^L U, \quad U = \mathcal{P}_{12} \mathcal{P}_{23} \cdots \mathcal{P}_{L-1,L}$$

 $UA_nU^{\dagger} = A_{n+1}$ U: 1-site shift operator

 $U = e^{iP}$ P: "momentum"

$$H_0 = \ln t(0) \sim P$$

eigenvalues of conserved charges

[t(u), t(u')] = 0

 \Rightarrow there exist eigenstates of transfer matrix that do not depend on spectral parameter

 $\overline{|t(u)|\Lambda\rangle} = \overline{\Lambda(u)|\Lambda\rangle}$

If we can determine $\Lambda(u)$, then we can get eigenvalues h_n of all charges H_n :

$$h_n = \frac{d^n}{du^n} \ln \Lambda(u) \Big|_{u=0}$$

Algebraic Bethe ansatz

So now we know that the Heisenberg model is integrable. Question: But are we any closer to solving the model? (i.e., finding eigenstates & eigenvalues of transfer matrix)

Answer: Yes!

We shall now identify certain creation operators. Acting with them on the vacuum state

$$|0\rangle \equiv \underbrace{\begin{pmatrix}1\\0\end{pmatrix} \otimes \cdots \otimes \begin{pmatrix}1\\0\end{pmatrix}}_{L}$$

all spins up

we can construct the eigenstates! (~ harmonic oscillator)

Recall that the monodromy matrix acts on

$$egin{array}{cccc} V\otimes V\otimes \cdots\otimes V\ \uparrow&\uparrow&\uparrow\ 0&1&&\mathsf{L} \end{array}$$

$$T_0(u) = \begin{pmatrix} A(u) & B(u) \\ C(u) & D(u) \end{pmatrix}$$

 $A(u), \dots, D(u)$ act on $V \otimes \dots \otimes V$ \uparrow \uparrow \downarrow 1 L

 $t(u) = \operatorname{tr}_0 T_0(u) = A(u) + D(u)$

B(u)|0
angle
eq 0 creation C(u)|0
angle = 0 annihilation

Assume that the eigenstates of t(u) are given by

$$|u_1,\ldots,u_M\rangle \equiv B(u_1)\cdots B(u_M) |0\rangle$$

Set

To compute eigenvalues, must move t(u) = A(u) + D(u)past each of the B's

FR \Rightarrow commutation relations:

$$A(u) \ B(u') = \left(\frac{u - u' - i}{u - u'}\right) B(u') \ A(u) - \frac{i}{u - u'} B(u) \ A(u')$$
$$D(u) \ B(u') = \left(\frac{u - u' + i}{u - u'}\right) B(u') \ D(u) - \frac{i}{u - u'} B(u) \ D(u')$$

Using only first terms, get

$$A(u)|u_1,\ldots,u_M\rangle = \prod_{k=1}^M \left(\frac{u-u_k-i}{u-u_k}\right) B(u_1)\cdots B(u_M) \underbrace{A(u)|0\rangle}_{(u+i)^L|0\rangle}$$

$$D(u)|u_1,\ldots,u_M\rangle = \prod_{k=1}^M \left(\frac{u-u_k+i}{u-u_k}\right) B(u_1)\cdots B(u_M) \underbrace{D(u)|0\rangle}_{u^L|0\rangle}$$

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$$t(u)|u_1,\ldots,u_M\rangle = \Lambda(u)|u_1,\ldots,u_M\rangle +$$
 "unwanted"

$$\Lambda(u) = (u+i)^L \prod_{k=1}^M \left(\frac{u-u_k-i}{u-u_k}\right) + u^L \prod_{k=1}^M \left(\frac{u-u_k+i}{u-u_k}\right)$$

So far, $\{u_1, \ldots, u_M\}$ are arbitrary.

Can show that the "unwanted" terms cancel if $\{u_1, \ldots, u_M\}$ satisfy the "Bethe equations" (BEs):

$$\left(\frac{u_j+i}{u_j}\right)^L = \prod_{\substack{k=1\\k\neq j}}^M \frac{u_j-u_k+i}{u_j-u_k-i}, \quad j=1,\cdots,M$$

 $u_j \mapsto u_j - \frac{1}{2}$

$$\left(\frac{u_j + \frac{i}{2}}{u_j - \frac{i}{2}}\right)^L = \prod_{\substack{k=1\\k \neq j}}^M \frac{u_j - u_k + i}{u_j - u_k - i}, \quad j = 1, \cdots, M$$

In principle, can solve BEs for $\{u_1, \ldots, u_M\}$ & therefore obtain transfer matrix eigenvalues $\Lambda(u)$

$$P \sim \ln t(0)$$
 \Rightarrow $P \sim \ln \Lambda(0) = \left| \frac{1}{i} \sum_{k=1}^{M} \ln \left(\frac{u_k + \frac{i}{2}}{u_k - \frac{i}{2}} \right) \right|$

 $(\mod 2\pi)$

$$H \sim \frac{d}{du} \ln t(u) \Big|_{u=0} \quad \Rightarrow \quad E \sim \frac{d}{du} \ln \Lambda(u) \Big|_{u=0} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} = \left| \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}} \right|_{k=1}^{M} \frac{1}$$

Note: $\{u_1, \ldots, u_M\}$ must be distinct

su(2) symmetry: $\left[\vec{S}, t(u) \right] = 0$ $\vec{S} = \frac{1}{2} \sum_{n=1}^{L} \vec{\sigma}_n$

. can simultaneously diagonalize $t(u), \vec{S}^2, S^2$

 $|\vec{S}^2|u_1,\ldots,u_M\rangle = s(s+1)|u_1,\ldots,u_M\rangle$

 $S^{z}|u_{1},\ldots,u_{M}\rangle = m|u_{1},\ldots,u_{M}\rangle$

Bethe states are su(2) highest-weight states:

 $S^+|u_1,\ldots,u_M\rangle=0$

$$s = m = \frac{L}{2} - M$$

$$[S^z, B(u)] = -B(u) \qquad S^z |0\rangle = \frac{L}{2} |0\rangle$$

$$s \ge 0 \qquad \Rightarrow \qquad M \le \frac{L}{2}$$

The lower-weight states can be obtained by acting with S⁻

Example: L=4 $M \le \frac{L}{2}$ \therefore M = 0, 1, 2 $s = \frac{L}{2} - M = 2 - M$

Μ	$\{u_k\}$	Ρ	E	S	degeneracy (2s+1)
0	-	0	0	2	5
1	1/2	$\pi/2$	2	1	3
1	-1/2	$-\pi/2$	2	1	3
1	0	π	4	1	3
2	i/2,-i/2	π	2	0	1
2	$1/(2\sqrt{3}), -1/(2\sqrt{3})$	0	6	0	1

total: 16 = 2⁴ 🗸

Matches with direct diagonalization of H 🗸

Hypothesis: For any L, Bethe ansatz gives complete set of (highest-weight) states

R-matrix versus S-matrix

Has symmetry of Hamiltonian Has symmetry of vacuum

XXX:

4 x 4 matrix SU(2)-invariant

phase U(1)-invariant

Gives higher conserved charges; integrability is manifest

Analytical Bethe ansatz

Fact: $\Lambda(u)$ are polynomials in u, of degree L Proof: Recall

 $t(u) = \operatorname{tr}_0 R_{0L}(u) \cdots R_{01}(u), \quad R(u) = uI + i\mathcal{P}$

 $t(u) = \sum_{n=0}^{L} t_n u^n$ t_n : u-independent matrices

 $[t(u), t(u')] = 0 \quad \Rightarrow \quad [t_n, t_m] = 0$

can diagonalize simultaneously!

$$t_n |\Lambda\rangle = \Lambda_n |\Lambda\rangle$$

 $\Lambda(u) = \sum_{n=0}^{L} \Lambda_n u^n$ polynomial in u, of degree L

Corollary: $\Lambda(u)$ are regular (no poles) for finite u

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 \Rightarrow

Useful short-cut for finding $\Lambda(u)$ & BE: Vacuum eigenvalue:

 $\overline{|t(u)|0\rangle} = \Lambda^{(0)}(u)|0\rangle$

 $\Lambda^{(0)}(u) = (u+i)^L + u^L$

Assume general eigenvalue is "dressed" vacuum eigenvalue:

$$\Lambda(u) = (u+i)^L \frac{Q(u-i)}{Q(u)} + u^L \frac{Q(u+i)}{Q(u)}$$

 $Q(u) = \prod_{j=1}^{M} (u - u_j)$ zeros u_j still to be determined

 $\Lambda(u)$ must not have pole at $u_j \implies$

 $(u_j + i)^L Q(u_j - i) + u_j^L Q(u_j + i) = 0$

Bethe equations!

[Reshetikhin, ...]

Assumed only simple poles – i.e., distinct Bethe roots

Higher-order poles \Rightarrow additional equations



Returning to $\mathcal{N}=4$ SYM...

In SU(2) subsector $\operatorname{tr} X(x)^M Z(x)^{L-M} + \dots$

1-loop anomalous dimensions:

$$\gamma = \frac{\lambda}{8\pi^2} \sum_{k=1}^{M} \frac{1}{u_k^2 + \frac{1}{4}}$$

$$\left(\frac{u_j + \frac{i}{2}}{u_j - \frac{i}{2}}\right)^L = \prod_{\substack{k=1\\k \neq j}}^M \frac{u_j - u_k + i}{u_j - u_k - i}, \quad j = 1, \cdots, M$$

cyclicity
$$\implies P = \frac{1}{i} \sum_{k=1}^{M} \ln \left(\frac{u_k + \frac{i}{2}}{u_k - \frac{i}{2}} \right) = 0$$

Example: L=4

Μ	$\{u_k\}$	Р	Е	S	degeneracy (2s+1)
0	-	0	0	2	5
1	1/2	$\pi/2$	2	1	3
1	-1/2	$\pi/2$	2	1	3
1	0	π	4	1	3
2	i/2,-i/2	π	2	0	1
2	$1/(2\sqrt{3}), -1/(2\sqrt{3})$	0	6	0	1

Returning to $\mathcal{N}=4$ SYM...

In SU(2) subsector $\operatorname{tr} X(x)^M Z(x)^{L-M} + \dots$

1-loop anomalous dimensions:



$$\left(\frac{u_j + \frac{i}{2}}{u_j - \frac{i}{2}}\right)^L = \prod_{\substack{k=1\\k \neq j}}^M \frac{u_j - u_k + i}{u_j - u_k - i}, \quad j = 1, \cdots, M$$

cyclicity
$$\implies P = \frac{1}{i} \sum_{k=1}^{M} \ln\left(\frac{u_k + \frac{i}{2}}{u_k - \frac{i}{2}}\right) = 0$$

Many questions remain: other operators?
higher loops?

Stay tuned!