

# Symmetries and degeneracies of spin chains

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## NLIE for hole excited states in the sine-Gordon model with two boundaries

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## Y-system for $Y = 0$ brane in planar AdS/CFT

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## Gabor & Zoli



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common threads:  
integrability  
&  
boundaries

## The spectrum of tachyons in AdS/CFT

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JHEP03(2014)



Pohang 2008



Pohang 2014



Natal 2012

$$\{1, 1, 10, 14, 14, 21, 30, 30\}$$

Degeneracies of a certain *anisotropic* integrable quantum spin chain

- A main aim of this talk is to explain such degeneracies
- Due to rich symmetries!

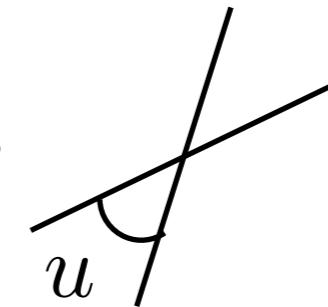
## Outline

1. Introduction
2. Symmetries & degeneracies of integrable spin chains
3. Generalization
  - 1802.04864 + A. Retore
  - 1805.10144 + R. Pimenta
4. Conclusions

# I. Introduction

Key to quantum integrability:

R-matrix     $R(u) \sim$



Solution of Yang-Baxter equation (YBE)

$$\begin{array}{c} \text{Diagram 1: Three lines labeled 1, 2, 3 meeting at a point.} \\ \text{Diagram 2: Three lines labeled 1, 2, 3 meeting at a point.} \\ = \\ \text{Diagram 3: Three lines labeled 1, 2, 3 meeting at a point.} \end{array}$$

$$R_{12}(u - v) R_{13}(u) R_{23}(v) = R_{23}(v) R_{13}(u) R_{12}(u - v)$$

# Classes of R-matrices:

- Rational invariant under Lie group  $G$

Ex:  $R(u) = \left( \begin{array}{cc|cc} u+i & 0 & 0 & 0 \\ 0 & u & i & 0 \\ \hline 0 & i & u & 0 \\ 0 & 0 & 0 & u+i \end{array} \right)$

$G = SU(2)$   
“isotropic”, XXX

- Trigonometric  $\leftrightarrow$  affine Lie algebra  $\hat{g}$

Ex:  $R(u) = \left( \begin{array}{cc|cc} \sinh(u+\eta) & 0 & 0 & 0 \\ 0 & \sinh(u) & \sinh(\eta) & 0 \\ \hline 0 & \sinh(\eta) & \sinh(u) & 0 \\ 0 & 0 & 0 & \sinh(u+\eta) \end{array} \right)$

$\hat{g} = A_1^{(1)}$   
“anisotropic”, XXZ

$\eta$ : “anisotropy parameter”

- Elliptic

Ex:  $R(u) = \left( \begin{array}{cc|cc} \operatorname{sn}(u+\eta) & 0 & 0 & k \operatorname{sn}(u+\eta) \operatorname{sn}(u) \operatorname{sn}(\eta) \\ 0 & \operatorname{sn}(u) & \operatorname{sn}(\eta) & 0 \\ \hline 0 & \operatorname{sn}(\eta) & \operatorname{sn}(u) & 0 \\ k \operatorname{sn}(u+\eta) \operatorname{sn}(u) \operatorname{sn}(\eta) & 0 & 0 & \operatorname{sn}(u+\eta) \end{array} \right)$

XYZ

## Uses of R-matrices:

- S-matrices of integrable quantum field theories in  $l+l$  dimensions

Ex: sine-Gordon model

- Building blocks of  $l$ -dimensional integrable quantum spin chains

R-matrix



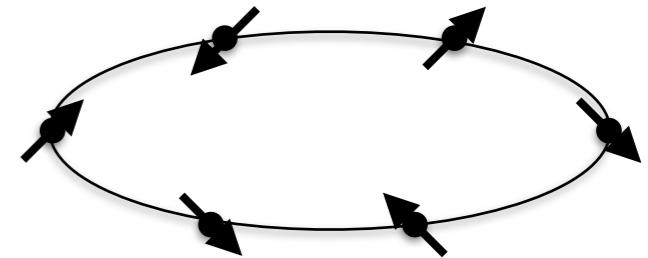
[Faddeev, ... 1980's]



integrable closed spin chain

# Ex: Heisenberg (XXX) spin chain

XXX R-matrix  $\longrightarrow$  Hamiltonian:



$$H^{(1)} = \sum_{n=1}^N \vec{\sigma}_n \cdot \vec{\sigma}_{n+1}, \quad \vec{\sigma}_{N+1} \equiv \vec{\sigma}_1$$

N spin  $\frac{1}{2}$

- quantum many-body model
- integrable:

$$H^{(2)} = \sum_{n=1}^N \vec{\sigma}_n \cdot (\vec{\sigma}_{n+1} \times \vec{\sigma}_{n+2})$$

$$H^{(3)} = \dots$$

$\vdots$

$$[H^{(j)}, H^{(k)}] = 0$$



solvable by Bethe ansatz

- many applications

## 2. Symmetries and degeneracies of integrable spin chains

- Rational [easy!]

R-matrix with symmetry  $G$

$\Rightarrow$  spin chain has same symmetry  $G$

Ex: Heisenberg (XXX) spin chain has symmetry  $SU(2)$

Degeneracies in the spectrum are given by group theory

Ex: N=4

$$\text{Clebsch-Gordan} \Rightarrow (\underline{\frac{1}{2}} \otimes \underline{\frac{1}{2}}) \otimes (\underline{\frac{1}{2}} \otimes \underline{\frac{1}{2}}) = (\underline{0} \oplus \underline{1}) \otimes (\underline{0} \oplus \underline{1}) \\ = 2 \cdot \underline{0} \oplus 3 \cdot \underline{1} \oplus \underline{2}$$

degeneracies  $\{1, 1, 3, 3, 3, 5\}$

spin  $s \rightarrow 2s + 1$

## 2. Symmetries and degeneracies of integrable spin chains

- Trigonometric [not so easy!]

$\hat{g}$  R-matrix

$\Rightarrow$

periodic spin chain does *not* have  
any nice symmetry or degeneracy



Periodic boundary conditions break symmetry

To realize symmetry, we consider *open* spin chain



We insist that boundary conditions should preserve integrability

Example:



N spin  $\frac{1}{2}$

$$H = \sum_{n=1}^{N-1} [\sigma_n^x \sigma_{n+1}^x + \sigma_n^y \sigma_{n+1}^y + \frac{1}{2}(q + q^{-1})\sigma_n^z \sigma_{n+1}^z] - \frac{1}{2}(q - q^{-1})(\sigma_1^z - \sigma_N^z)$$

[Pasquier, Saleur 1990]

- anisotropy parameter  $q$
- integrable
- quantum group symmetry

# Quantum Group (QG) symmetry

$$[H, S^z] = 0 = [H, S^\pm]$$

$$S^z = \sum_{k=1}^N S_k^z, \quad S_k^z = \frac{1}{2} \sigma_k^z$$

“coproducts”

$$S^\pm = \sum_{k=1}^N q^{-(S_1^z + \dots + S_{k-1}^z)} S_k^\pm q^{(S_{k+1}^z + \dots + S_N^z)}, \quad S_k^\pm = \frac{1}{2} (\sigma_k^x \pm i \sigma_k^y)$$

$$[S^z, S^\pm] = \pm S^\pm, \quad [S^+, S^-] = [2S^z]_q, \quad [x]_q \equiv \frac{q^x - q^{-x}}{q - q^{-1}}$$

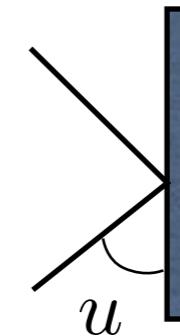
$$U_q sl(2) \quad \rightarrow 2S^z \quad \text{for } q \rightarrow 1$$

For generic  $q$ , the degeneracies are the same as for isotropic!

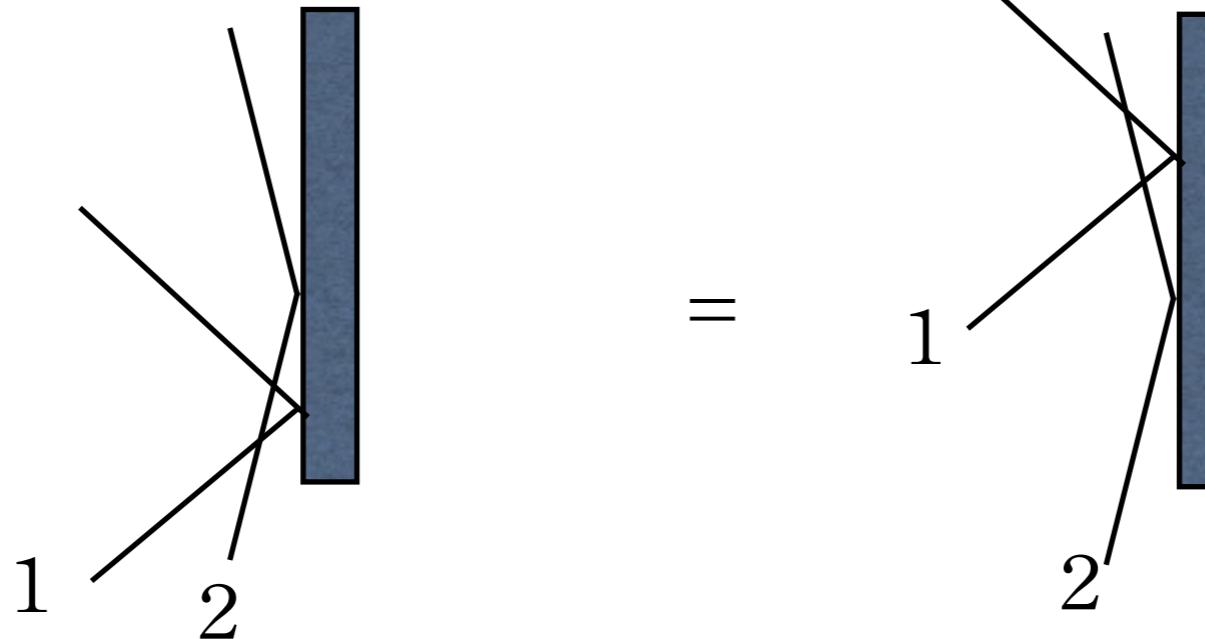
### 3. Generalization

Key to *boundary* quantum integrability:

K-matrix (reflection matrix)  $K^R(u) \sim$

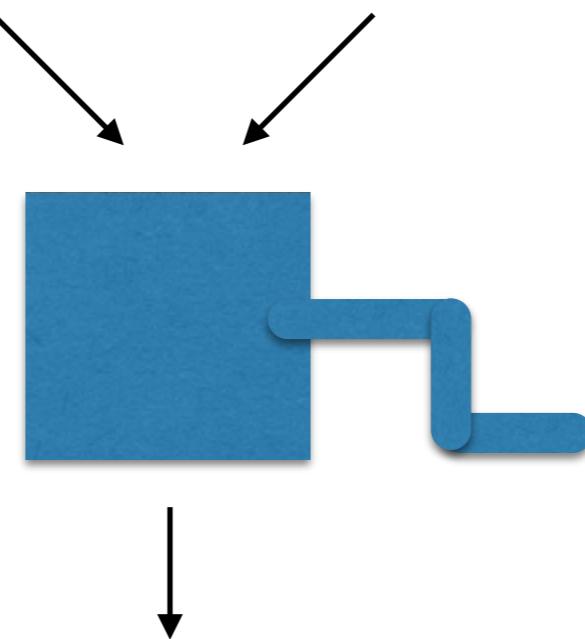


Solution of boundary Yang-Baxter equation (BYBE)



$$R_{12}(u-v) K_1^R(u) R_{21}(u+v) K_2^R(v) = K_2^R(v) R_{12}(u+v) K_1^R(u) R_{21}(u-v)$$

R-matrix      K-matrix



[Sklyanin 1988]

integrable *open* spin chain

Let's consider integrable open spin chains constructed with:

- “trigonometric” R-matrix  $\longleftrightarrow$  affine Lie algebra  $\hat{g}$

[Jimbo 1986, Kuniba 1991]

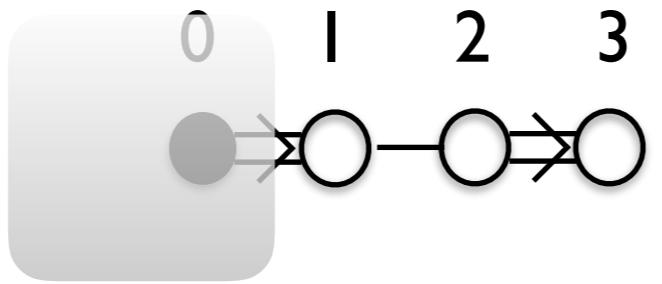
- diagonal K-matrix, depending on discrete parameter  $p$

[Lima-Santos 2006]

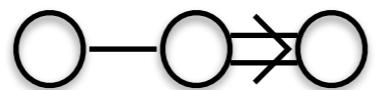
These spin chains have QG symmetry corresponding  
to removing  $p^{\text{th}}$  node of Dynkin diagram of  $\hat{g}$

[RIN, Retore 2018]

Ex:  $\hat{g} = A_6^{(2)}$



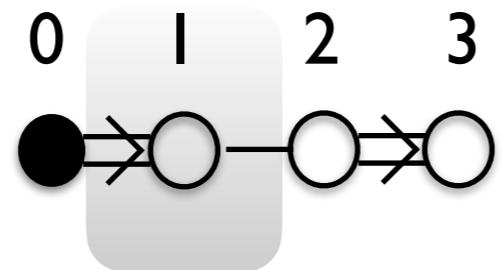
$p = 0$



QG symmetry

$U_q(B_3)$

Ex:  $\hat{g} = A_6^{(2)}$



QG symmetry

$p = 0$



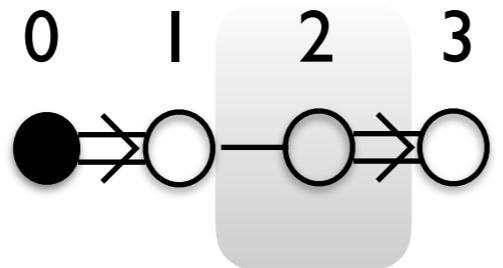
$U_q(B_3)$

$p = 1$



$U_q(B_2) \otimes U_q(C_1)$

Ex:  $\hat{g} = A_6^{(2)}$



QG symmetry

$p = 0$



$U_q(B_3)$

$p = 1$



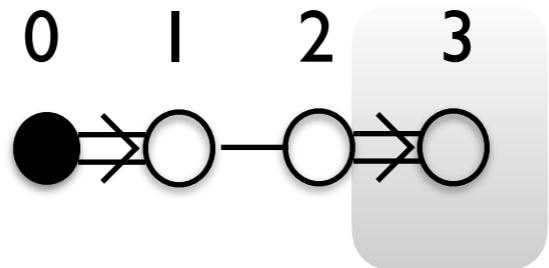
$U_q(B_2) \otimes U_q(C_1)$

$p = 2$



$U_q(B_1) \otimes U_q(C_2)$

Ex:  $\hat{g} = A_6^{(2)}$



QG symmetry

$p = 0$



$U_q(B_3)$

$p = 1$



$U_q(B_2) \otimes U_q(C_1)$

$p = 2$



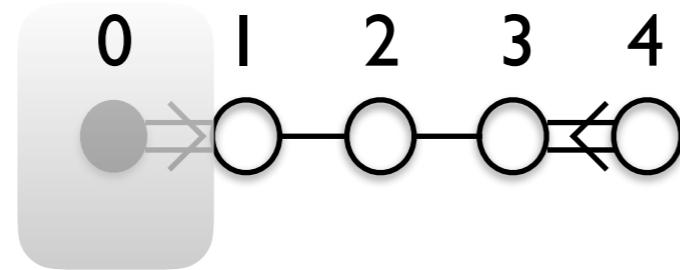
$U_q(B_1) \otimes U_q(C_2)$

$p = 3$



$U_q(C_3)$

Ex:  $\hat{g} = C_4^{(1)}$



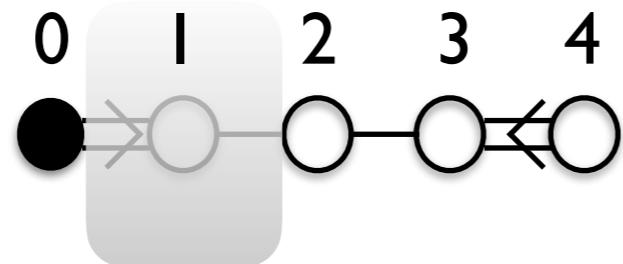
QG symmetry

$p = 0$



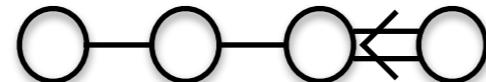
$U_q(C_4)$

Ex:  $\hat{g} = C_4^{(1)}$



QG symmetry

$p = 0$



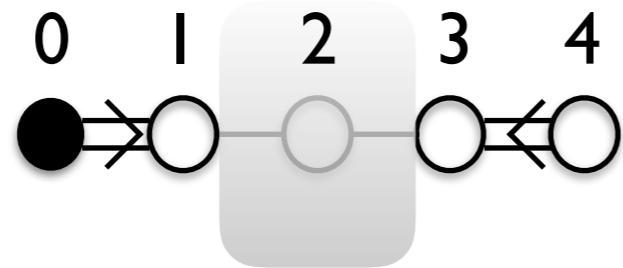
$U_q(C_4)$

$p = 1$



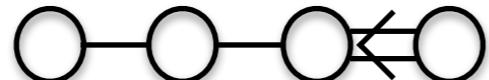
$U_q(C_3) \otimes U_q(C_1)$

Ex:  $\hat{g} = C_4^{(1)}$



QG symmetry

$p = 0$



$U_q(C_4)$

$p = 1$



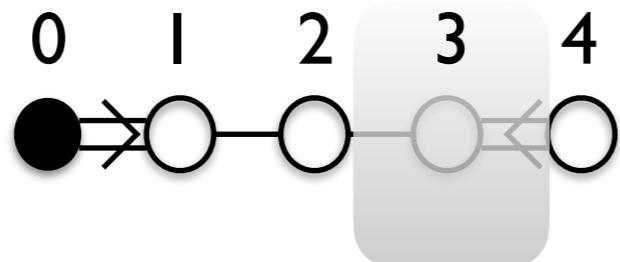
$U_q(C_3) \otimes U_q(C_1)$

$p = 2$



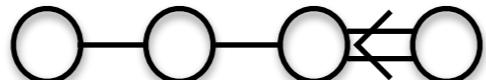
$U_q(C_2) \otimes U_q(C_2)$

Ex:  $\hat{g} = C_4^{(1)}$



QG symmetry

$p = 0$



$U_q(C_4)$

$p = 1$



$U_q(C_3) \otimes U_q(C_1)$

$p = 2$



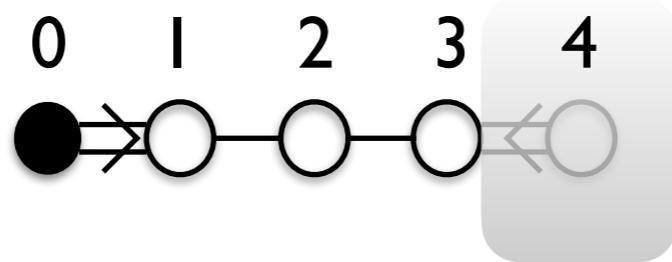
$U_q(C_2) \otimes U_q(C_2)$

$p = 3$



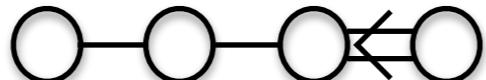
$U_q(C_1) \otimes U_q(C_3)$

Ex:  $\hat{g} = C_4^{(1)}$



QG symmetry

$p = 0$



$U_q(C_4)$

$p = 1$



$U_q(C_3) \otimes U_q(C_1)$

$p = 2$



$U_q(C_2) \otimes U_q(C_2)$

$p = 3$



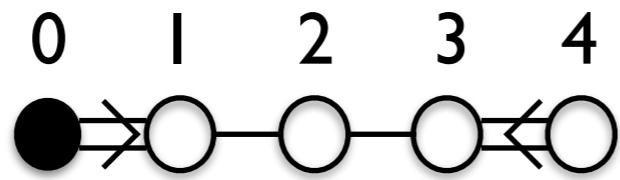
$U_q(C_1) \otimes U_q(C_3)$

$p = 4$



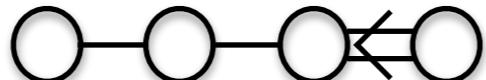
$U_q(C_4)$

Ex:  $\hat{g} = C_4^{(1)}$



QG symmetry

$p = 0$



$U_q(C_4)$

$p = 1$



$U_q(C_3) \otimes U_q(C_1)$

$p = 2$



$U_q(C_2) \otimes U_q(C_2)$

$p = 3$



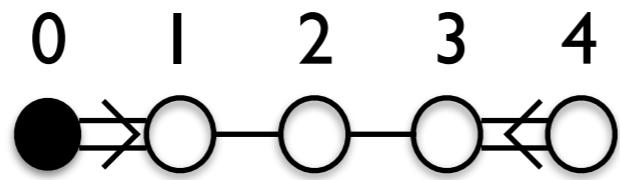
$U_q(C_1) \otimes U_q(C_3)$

$p = 4$



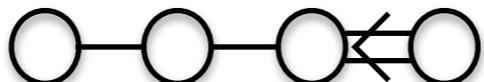
$U_q(C_4)$

Ex:  $\hat{g} = C_4^{(1)}$



QG symmetry

$p = 0$



$U_q(C_4)$

$p = 1$



$U_q(C_3) \otimes U_q(C_1)$

$p = 2$



$U_q(C_2) \otimes U_q(C_2)$

$p = 3$



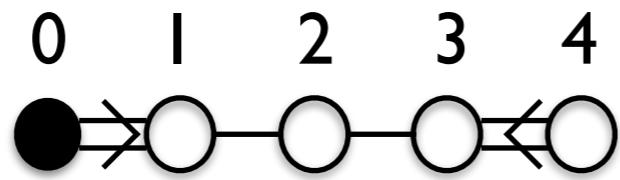
$U_q(C_1) \otimes U_q(C_3)$

$p = 4$



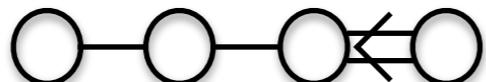
$U_q(C_4)$

Ex:  $\hat{g} = C_4^{(1)}$



QG symmetry

$p = 0$



$U_q(C_4)$

$p = 1$

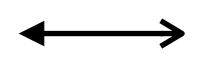


$U_q(C_3) \otimes U_q(C_1)$

$p = 2$



$U_q(C_2) \otimes U_q(C_2)$

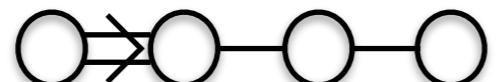


$p = 3$



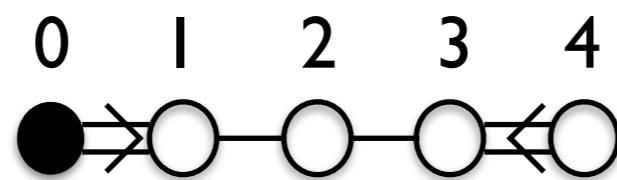
$U_q(C_1) \otimes U_q(C_3)$

$p = 4$



$U_q(C_4)$

Ex:  $\hat{g} = C_4^{(1)}$



QG symmetry

$p = 0$



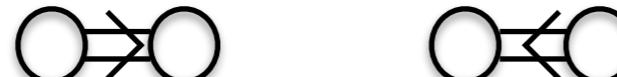
$U_q(C_4)$

$p = 1$



$U_q(C_3) \otimes U_q(C_1)$

$p = 2$



$U_q(C_2) \otimes U_q(C_2)$

$p = 3$



$U_q(C_1) \otimes U_q(C_3)$

$p = 4$



$U_q(C_4)$

$\hat{g} = C_n^{(1)}$

duality symmetry

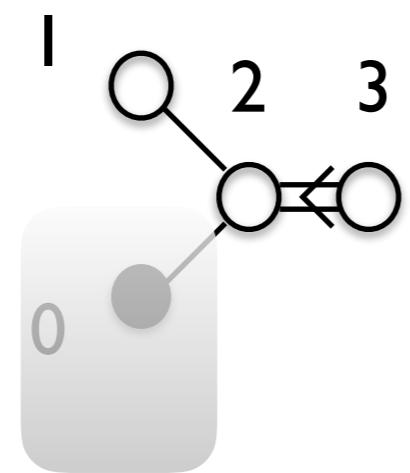
$p \leftrightarrow n - p$

self-duality

$p = \frac{n}{2}$

$n = \text{even}$

Ex:  $\hat{g} = A_5^{(2)}$



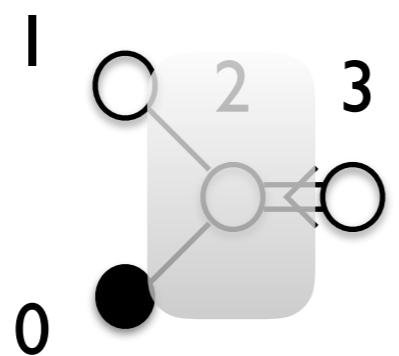
$$p = 0$$



QG symmetry

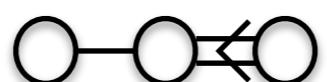
$$U_q(C_3)$$

Ex:  $\hat{g} = A_5^{(2)}$



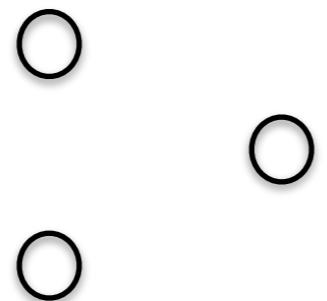
QG symmetry

$p = 0$



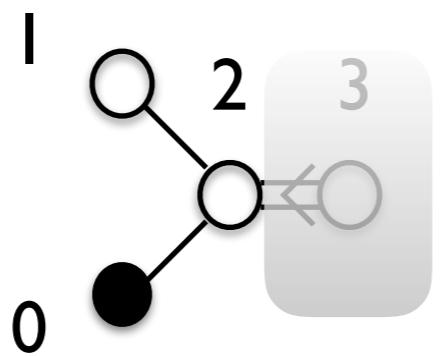
$U_q(C_3)$

$p = 2$



$U_q(C_1) \otimes U_q(D_2)$

Ex:  $\hat{g} = A_5^{(2)}$



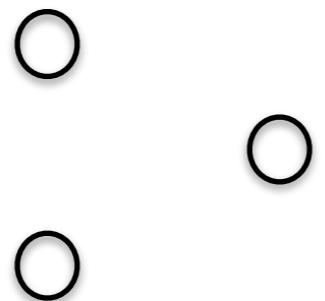
QG symmetry

$p = 0$



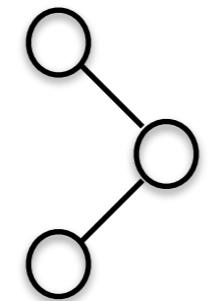
$U_q(C_3)$

$p = 2$



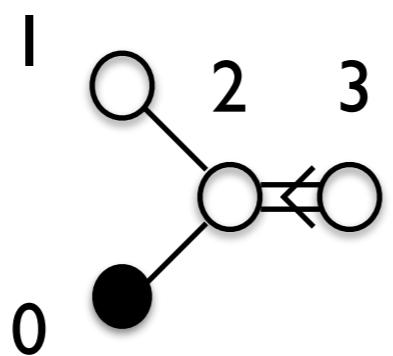
$U_q(C_1) \otimes U_q(D_2)$

$p = 3$



$U_q(D_3)$

Ex:  $\hat{g} = A_5^{(2)}$



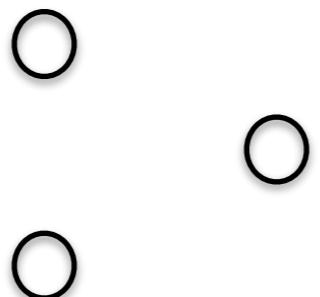
QG symmetry

$p = 0$



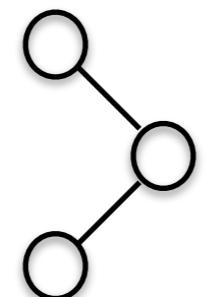
$U_q(C_3)$

$p = 2$



$U_q(C_1) \otimes U_q(D_2)$

$p = 3$



$U_q(D_3)$

$\hat{g} = A_{2n-1}^{(2)}$

$Z_2$  symmetry

$R \leftrightarrow \bar{R}$

$U_q(C_{n-p}) \otimes$    $U_q(D_p)$

These symmetries explain the degeneracies!

Ex:  $\hat{g} = A_{10}^{(2)}$  with  $p = 3, N = 2$

By explicit diagonalization, find degeneracies:

$$\{1, 1, 10, 14, 14, 21, 30, 30\}$$

QG symmetry  $U_q(B_2) \otimes U_q(C_3)$

The “spin” at each site is in the representation  $(5, 1) \oplus (1, 6)$

LieART  $\Rightarrow$

$$((5, 1) \oplus (1, 6))^{\otimes 2} = 2(1, 1) \oplus 2(5, 6) \oplus (10, 1) \oplus (1, 14) \oplus (14, 1) \oplus (1, 21)$$

Exactly matches with observed degeneracies!

## 4. Conclusions

- *Anisotropic* open integrable spin chains constructed with
  - { trigonometric R-matrices     $\hat{g}$
  - diagonal K-matrices, parameter p

exhibit rich patterns of degeneracies

- Due to rich symmetries:

QG:      removing  $p^{\text{th}}$  node of Dynkin diagram of  $\hat{g}$

duality:    $p \leftrightarrow n - p$

$\mathbb{Z}_2$

- For  $N \rightarrow \infty$  expect infinite-dimensional symmetry

$$U_q(\hat{g})$$

(regardless of boundary conditions) [Jimbo & Miwa 1994, ...]

For finite  $N$ , the symmetry algebra is a finite-dimensional sub-algebra of  $U_q(\hat{g})$

The maximal sub-algebras can be obtained by removing one node from Dynkin diagram

We have described the boundary conditions & integrable open spin chains with these maximal symmetries, for all non-exceptional  $\hat{g}$

**Happy 70<sup>th</sup> Birthday!**



**& many more birthdays & papers!**