

7

Vin Peter : vpet@rmtk.kfki.hu

- names: list, email
- conditions: problems/test <sup>12th week</sup> : exam | practice: computers || half/half
- apology: english
- literature:

Atolosi T. Ordinary Thermodynamics (2005) Academic Publishers

Kondepudi, D. and Prigogine, I.: Modern Thermodynamics (1998)

Bejan, A.: Advanced Engineering Thermodynamics Wiley  
2006, Wiley

Verhó, J.: Thermodynamics and rheology, 1997, Academic Publishers

Intro:

What is thermodynamics?

heat & temperature : NOT only

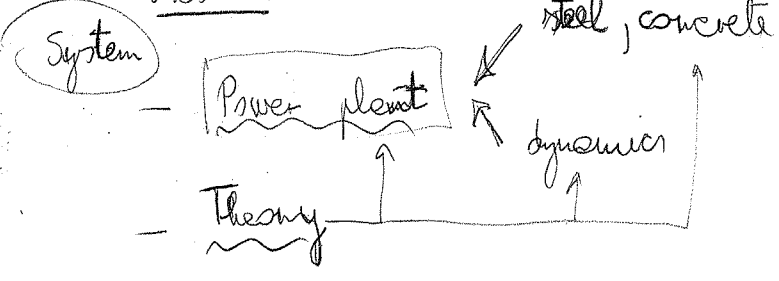
energy changes : NOT only

→ theory of stability of materials

A general framework of microscopic physics.

→ foundation of engineering

Modells



Validity:

- experiments, observations (reality)
- logic (consistency)

Attitude

- engineer - how?
- scientist - why?
- economist - how much?

Truth:

- objective - independent of ...
- open mind;
- independent of what you think, and how you think, ...

mental model:

Global warming:

There is a (mankind) made harmful global warming.

- 1.) exists?
- 2.) man made?
- 3.) harmful? - why?
- 4.) measures?

open mind

- cycles (EXP)
- CO<sub>2</sub> - ? (CONS)
- hockey stick
- H<sub>2</sub>O (CONS)

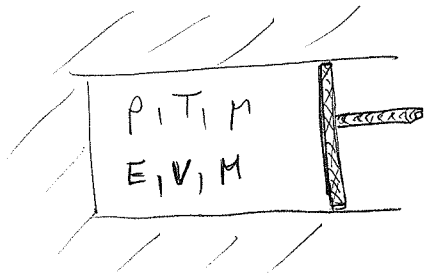
Mathematics

- calculus: partial derivatives ( $\Leftarrow$ )
- ordinary differential equations } math.
- partial differential equation }
- Lyapunov stability ( $\Leftarrow$ )
- vector analysis (indices) / Gauss-Ostrogradskii theorem. ( $\Leftarrow$ )

I. / 1. Models - theories - laws

concepts } framework of thinking  
relations }

- Example 1.



- discrete
- continuum

Mechanics:

statics — dynamics

discrete — continuum

- point mass
- rigid body

- elastic
- plastic
- viscoelastic
- elastoplastic
- viscoplastic
- viscoelastoplastic

Thermodynamics:

A.) thermodynamics — thermodynamics

B.) discrete — continuous

C.) phenomenological — statistical

- model hierarchies, validity conditions

II/1 Extensives, densities, specific quantities ( $\rightarrow$  continuum thermodynamics) 3

$$\boxed{\begin{aligned} dE &= TdS - pdV + \mu dM \\ E &= TS - pV + \mu M \end{aligned}}$$

$$M = m_0 N$$

a) densities:  $(e, s, \rho)$  [d - differential / Leibniz rule:  $d(fg) = f dg + g df$ ]

$$\left. \begin{aligned} V\varepsilon &= E \\ Vs &= S \\ V\rho &= M \end{aligned} \right\}$$

$$\begin{aligned} d(V\varepsilon) &= \varepsilon dV + Vd\varepsilon = Td(Ms) - pdV + \mu d(\rho V) = \\ &= TsdV + VTds - pdV + \mu Vd\rho + \mu \rho dV \Rightarrow \\ d\varepsilon &= \frac{1}{V} (E\varepsilon + Ts + p + \mu\rho) dV + VTds + \mu Vd\rho \end{aligned}$$

$$\boxed{d\varepsilon = Tds + \mu d\rho}$$

$$\varepsilon = Ts - p + \mu\rho$$

b) specific quantities:  $(e, s, \nu)$

$$\left. \begin{aligned} Me &= E \\ Ms &= S \\ M\nu &= V \end{aligned} \right\}$$

$$\begin{aligned} d(Me) &= e dM + Mde = Td(Ms) - p d(M\nu) + \mu dM = \\ &= TdM + TMs - pM d\nu - \nu p dM + \mu dM \Rightarrow \\ de &= \frac{1}{M} ((-e + Ts - p\nu + \mu) dM + M T ds - M p d\nu) \end{aligned}$$

$$\boxed{de = Tds - p d\nu}$$

$$e = Ts - p\nu + \mu$$

Remark:

$$- S(E, V, M) = Ms(e, \nu) = Ms\left(\frac{E}{M}, \frac{V}{M}\right)$$

$\rightarrow$  shape independent  $\rightarrow$  solids

Extensive - intensive:

Def. Euler homogeneity:  $f(\lambda x, \lambda y) = \lambda^k f(x, y)$   $k$ -th order

intensives: 0-th order:  $T(E, V, M) = T(e, \nu)$

extensives: 1-th order:  $S(E, V, M) = Ms(e, \nu)$

11/2 Gibbs relation - potentials, variables

$$dE = TdS - pdV + \mu dM$$

$$S(E, V, M) : \left. \frac{\partial S}{\partial E} \right|_{V, M} = \frac{1}{T}$$

$$E(S, V, M) : \left. \frac{\partial E}{\partial S} \right|_{V, M} = T$$

$$\left. \frac{\partial S}{\partial V} \right|_{E, M} = \frac{p}{T}$$

$$\left. \frac{\partial E}{\partial V} \right|_{S, M} = -p$$

$$\left. \frac{\partial S}{\partial M} \right|_{E, V} = -\frac{\mu}{T}$$

$$\left. \frac{\partial E}{\partial M} \right|_{E, V} = \mu$$

mnemonic for transforming the variables

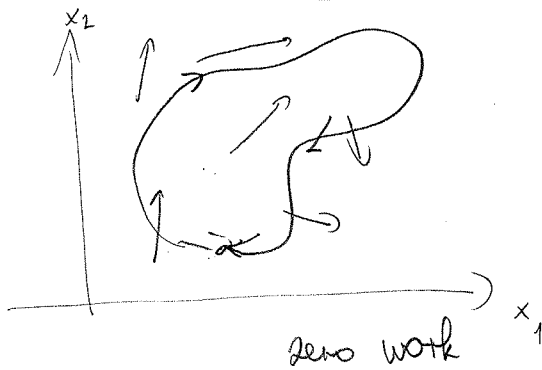
Potential :  $U(F = (x, y, z) = x^i)$

$$\vec{F}(\vec{x}) = (F_x(x, y, z), F_y(x, y, z), F_z(x, y, z)) = F^i(x^i) \quad \text{force field}$$

$$= -\nabla U = \left( \frac{\partial U}{\partial x}, \frac{\partial U}{\partial y}, \frac{\partial U}{\partial z} \right) = -\partial^i U$$

(i)  $\exists U(x^i)$  for an  $F^i(x^i) \iff \partial^i F^j = \partial^j F^i \quad (\nabla \times \vec{F} = 0)$

$\iff \oint_G F_j(x^i) dx^j = 0 \quad \forall G$



Rem:  $-\partial^i \partial^j U = -\partial^j \partial^i U$   
- abstract vector field

Ax:  $S(E, V, M)$  is a potential of the vector field:  $\left( \frac{1}{T}, \frac{p}{T}, -\frac{\mu}{T} \right) (E, V, M)$

$\Rightarrow s(E, p, \mu)$  is a potential of  $\left( \frac{1}{T}, \frac{p}{T} \right)$

e.g.  $(T, p)(e, v) \rightarrow \left. \begin{aligned} \partial_e S &= \frac{1}{T} \\ \partial_v S &= \frac{p}{T} \end{aligned} \right\} \rightarrow \partial_e \frac{1}{T} = \partial_e \frac{p}{T} = -\frac{\partial_e T}{T^2} = \frac{\partial_e p}{T} - \frac{p \partial_e T}{T^2}$

$T \partial_e p = p \partial_e T - \partial_e T$

- Maxwell relations [Theory of Heat 1879 - for skilled workers]

1/3 Legendre transformation - derivatives as variables

+ Math 1:  $f(x) + g(x) = xy \Rightarrow f' = y / g' = x$

$\mathcal{L}(f) = g: g(y) = xy - f(x) = x(y)y - f(x(y))$   
 $f'(x) = y \Rightarrow x(y)$  no inverse

a) involutive:  $\mathcal{L}(\mathcal{L}f) = f$   
 $g(y) + h(z) = yz$   
 $h = zy - (xy - f) = f$

b) convexity/concavity is conserved

$g'(y) = (x(y)y - f(x(y)))' = x(y) + y x'(y) - f'(x(y))$   
 $f'(x) = y$   
 $g''(y) = x'(y) = \frac{1}{f''(y(x))}$

$\left[ \frac{d}{dx} [f'(g(x))] = x \right] = \frac{df'}{dg} \frac{dg}{dx} = 1$   
 $f'' (f^{-1})' = \frac{1}{f''(y(x))}$   
 $\frac{d}{dx} f'(x) = \frac{df'}{dg} \frac{dg}{dx}$

Helmholtz free energy:

$F(T, V, M) = \mathcal{L}_S [E(S, V, M)] \rightarrow F(T, M, V) - E(S, V, M) = -TS$

$\frac{\partial F}{\partial T} \Big|_{V, M} = -S - T \frac{\partial S}{\partial T} \Big|_{V, M} + \frac{\partial E}{\partial S} \Big|_{V, M} \frac{\partial S}{\partial T} \Big|_{V, M}$   
 $\frac{\partial F}{\partial T} (T, V, M) = -S$   
 $\frac{\partial E}{\partial S} (S, V, M) = T \Rightarrow S(T, V, M)$

$\frac{\partial F}{\partial V} \Big|_{T, M} = -T \frac{\partial S}{\partial V} \Big|_{T, M} + \frac{\partial E}{\partial V} \Big|_{S, M} + \frac{\partial E}{\partial S} \Big|_{V, M} \frac{\partial S}{\partial V} \Big|_{T, M} = -p$

$\frac{\partial F}{\partial M} \Big|_{T, V} = \dots = +\mu$

$$dF = d(E - TS) = \cancel{TdS} - pdV + \mu dN - \cancel{TdS} - SdT$$

$$dF = -SdT - pdV + \mu dN$$

— enthalpy, specific enthalpy

$$H - E = pV \rightarrow dH = d(E + pV) = TdS - pdV + \mu dN + Vdp + p dV$$
$$dH = TdS + Vdp + \mu dM$$

$$d(hM) = Td(hM) + vdh + \mu dM = Mdh + h dM = T v dM + T M ds + v M dp + \mu dM$$

$$dh = \frac{1}{M} [(-h + Ts + \mu) dM + M(Tds + vdp)]$$

$$dh = Tds + vdp$$

Math 2.

$$f(g(x, y), y) = \hat{f}(x, y)$$

1/a  $\frac{\partial}{\partial z}$

$$\frac{\partial f}{\partial g} \frac{\partial g}{\partial z} = \frac{\partial \hat{f}}{\partial z} \Rightarrow$$

$$\frac{\partial_x f}{y} = \frac{\partial_2 \hat{f}}{\partial_2 x|_y}$$

$$f(f^{-1}(z, y), y) = z$$

1/b  $\frac{\partial}{\partial z}$

$$\frac{\partial f}{\partial x} \frac{\partial x}{\partial z} = 1 \Rightarrow$$

$$\frac{\partial_x f}{y} = \frac{1}{\partial_x f|_y}$$

2/a  $\frac{\partial}{\partial y}$

$$\frac{\partial f}{\partial g} \frac{\partial g}{\partial y} + \frac{\partial f}{\partial y} = \frac{\partial \hat{f}}{\partial y} \Rightarrow$$

$$\frac{\partial_y f}{x} = \frac{\partial_2 \hat{f}}{\partial_2 x|_y} - \frac{\partial_2 \hat{f}}{\partial_2 x|_y} \frac{\partial x}{\partial y}$$

$$\hat{f} = z / g = f^{-1} = x$$

2/b  $\frac{\partial}{\partial z}$

$$\frac{\partial f}{\partial g} \frac{\partial g}{\partial z} + \frac{\partial f}{\partial z} = 0 \Rightarrow$$

$$\frac{\partial_y x}{f} = - \frac{\partial_y f}{\partial_x f|_y}$$

Ex 1.

$$c_p - c_v = +T \partial_T v|_p \partial_T p|_v$$

$$c_p = T \partial_T v|_p \quad c_v = T \partial_T v|_v$$

$$T \partial_T v|_p - T \partial_T v|_v = + T \partial_T v|_p \partial_T p|_v$$

$$(T|_p) - (T|_v)$$

$$\partial_T v|_p = \partial_T v|_v - \frac{\partial v|_T}{\partial p|_T} \partial_T p|_v$$

$$\partial_T v|_p = - \frac{\partial p|_v}{\partial p|_T}$$

$$\cancel{\partial_T v|_v} - \frac{\partial v|_T}{\partial p|_T} \partial_T p|_v - \cancel{\partial_T v|_v} = - \frac{\partial p|_v}{\partial p|_T} \partial_T p|_v$$

$$\boxed{\partial v|_T = - \partial p|_v}$$

$$\int df = -s dT - p dv$$
  
$$\partial_T f = -s$$
  
$$\partial_v f = -p$$

↑ 2.

Ex 2:  $(p + \frac{a}{v^2})(v-b) = RT$

$a=0 \quad b=0 \Rightarrow$  id. gas.

$$e = cT - \frac{a}{v}$$

a) Entropie:

$$\partial_v \frac{1}{T} = \partial_v \frac{c}{e + a/v} = \frac{c}{(e + a/v)^2} \frac{a}{v^2} \quad \parallel \quad \checkmark$$

$$\partial_e p|_T = \partial_e \left[ \frac{R}{v-b} - \frac{a}{v^2(e + a/v)} \right] = + \frac{a c}{v^2 (e + a/v)^2}$$

b) Entropie:

$$s(e, v) = \int \frac{1}{T}(e, v) de = \int \frac{c v}{e v + a} de = \frac{c v}{v} \ln(e v + a) + K_1(v)$$

$$s(e, v) = \int \frac{p}{T}(e, v) dv = \int \left( \frac{R}{v-b} - \frac{ac}{v(ev+a)} \right) dv = R \ln(v-b) - \int \left( \frac{c}{v} - \frac{ec}{ev+a} \right) dv$$

$$\frac{1}{v(ev+a)} = \frac{A}{v} + \frac{B}{ev+a} = \frac{Aev + Aa + Bv}{v(ev+a)} \quad \begin{matrix} A = 1/a \\ B = -e/a \end{matrix}$$

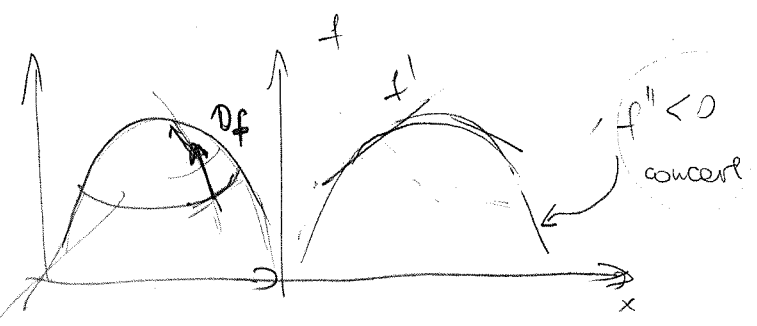
$$= R \ln(v-b) - c \ln v + c \ln(ev+a) + K_2(e)$$

$$s(e, v) = c \ln(ev+a) + \ln \left[ (v-b)^R v^{-c} \right] + S_0$$

$$s(e, v) = \ln \left[ \left( e + \frac{e}{v} \right)^{-c} (v-b)^R \right] + S_0$$

Thermodynamic stability

- concave  $\leftrightarrow$  maximal model mix!



$$s(e, v)$$

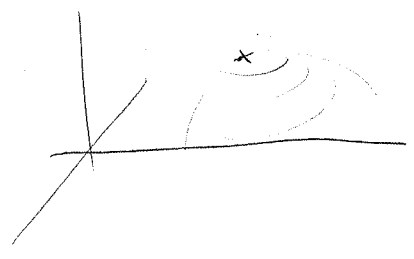
$$D_s = \left( \frac{\partial s}{\partial e} \Big|_v, \frac{\partial s}{\partial v} \Big|_e \right) = \left( \frac{1}{T}, \frac{p}{T} \right)$$

$$D_s^2 = \begin{pmatrix} \frac{\partial^2 s}{\partial e^2} \Big|_v & \frac{\partial^2 s}{\partial e \partial v} \\ \frac{\partial^2 s}{\partial e \partial v} & \frac{\partial^2 s}{\partial v^2} \Big|_e \end{pmatrix} = \begin{pmatrix} \partial_e \frac{1}{T} & \partial_v \frac{1}{T} \\ \partial_e \frac{p}{T} & \partial_v \frac{p}{T} \end{pmatrix} = \begin{pmatrix} -\frac{\partial_e T}{T^2} & -\frac{\partial_v T}{T^2} \\ \frac{\partial_e p}{T} - \frac{p}{T^2} \partial_e T & \frac{\partial_v p}{T} - \frac{p}{T^2} \partial_v T \end{pmatrix}$$

$$= -\frac{1}{T^2} \begin{pmatrix} \partial_e T & \partial_v T \\ -T \partial_e p + p \partial_e T & -T \partial_v p + p \partial_v T \end{pmatrix}$$

$D_s^2$  negative definite ( $\leftrightarrow D_s^2$  positive definite)

$$x^i A_{ij} x^j \leq 0 \quad \forall x^i, x^j$$



Sylvester criteria: A symmetric metric is + definite  $\Leftrightarrow$

$\text{Det } \Delta_i > 0$

$\forall_i \begin{pmatrix} a_{11} & a_{12} & a_{13} & \dots \\ a_{12} & a_{22} & a_{23} & \dots \\ a_{13} & a_{23} & a_{33} & \dots \\ \vdots & \vdots & \vdots & \ddots \end{pmatrix}$

$D_0^2 = a) \left[ \frac{\partial c_V}{\partial T} > 0 \right] \Leftrightarrow$  inchor spec. heat  $c_V > 0$

$c_V = T \left. \frac{\partial c_V}{\partial T} \right|_V = T \frac{\partial c_V / T}{\partial T} = \frac{1}{\partial c_V / T}$

b)  $-\partial c_T (T \alpha_{VP} - p \alpha_{VT}) = -\alpha_{VT} (T \alpha_{CP} - p \alpha_{CT})$

$T \alpha_{CT} \left( \alpha_{VP} - \frac{\partial \alpha_{VT}}{\partial T} \Big|_{p,p} \right) = -T \alpha_{CT} / V \alpha_{VP} / T > 0$

$\alpha_{VP} / V < 0$

$\alpha_T$  - isothermal compressibility  $> 0$

$\alpha_T = -\frac{1}{V} \left. \frac{\partial V}{\partial p} \right|_T$

reasonable:  $\frac{\Delta T}{\Delta E} \Big|_V > 0$

$\frac{\Delta P}{\Delta V} \Big|_V > 0$

$E \uparrow \Rightarrow T \uparrow$   
 $E \downarrow \Rightarrow T \downarrow$

$p \uparrow \Rightarrow V \downarrow$   
 $p \downarrow \Rightarrow V \uparrow$

Le Chatelier - Bragg principle

- chemistry
- economy



$V \downarrow$  pos +

van der Waals gas

$$p = \frac{RT}{v-b} - \frac{a}{v^2}$$

$$T = \frac{e}{c} + \frac{a}{\sqrt{c}}$$

- Exc: -  $p(v, T) \rightarrow e(v, T)$
- $s(v, T)$
- $\alpha(p, T)$
- thd stat.

Critical state parameterization

$$(1) \quad \partial_p p|_T = -\frac{RT}{(v-b)^2} + \frac{2a}{v^3} = \frac{2a(v-b)^2 - RTv^3}{v^3(v-b)} < 0$$

$$(2) \quad \partial_w p|_T = \frac{2RT}{(v-b)^3} - \frac{6a}{v^4} = 0$$

$$(1) \quad \frac{RT}{(v-b)^2} = \frac{2a}{v^3} \quad \left\{ \begin{array}{l} \frac{RT}{(v-b)^2} v^3 = 2 = \frac{3(v-b)}{v} \\ \frac{RT}{(v-b)^2} a = \frac{3(v-b)}{v} \end{array} \right.$$

$$(2) \quad \frac{RT}{(v-b)^3} = \frac{3a}{v^4} \quad \left\{ \begin{array}{l} 2v = 3v - 3b \\ \boxed{v_c = 3b} \end{array} \right.$$

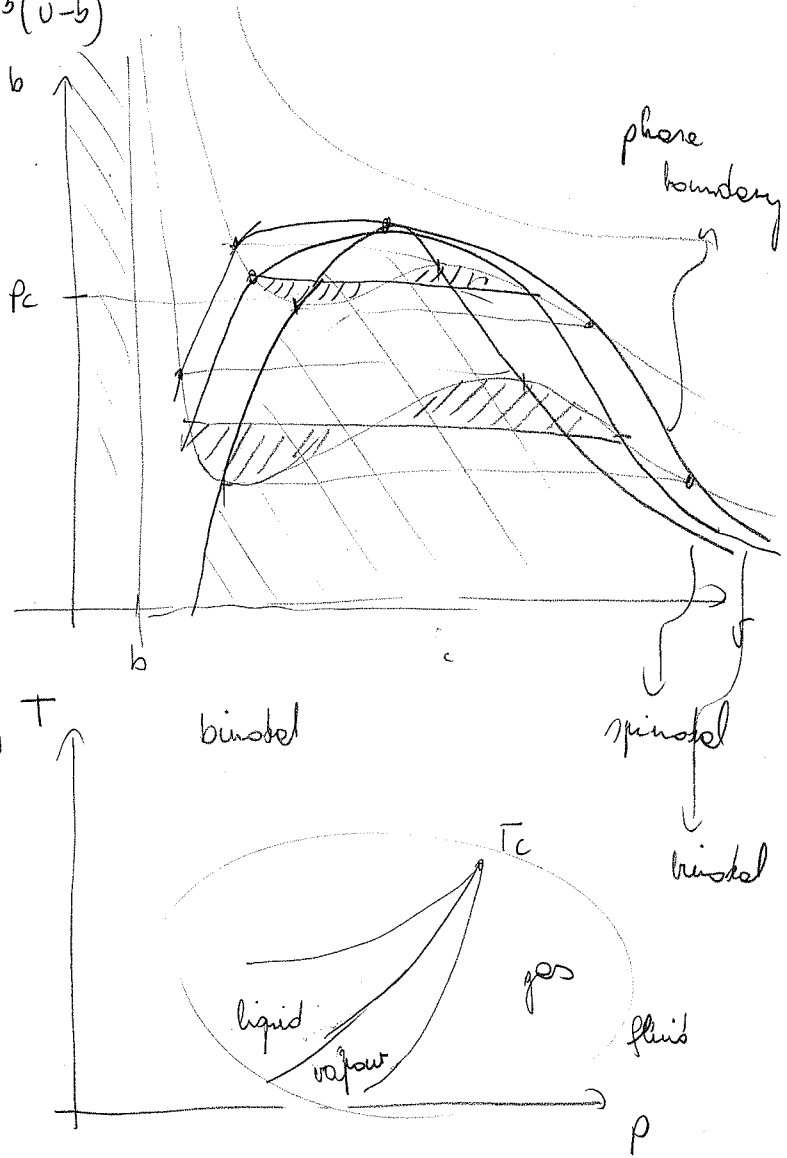
$$T_c = \frac{2a}{(3b)^3} \frac{(3b)^4}{R} = \frac{8ab^4}{24Rb^3} = \boxed{\frac{8a}{27bR} = T_c}$$

$$p_c = \frac{R \cdot 8a}{27bR \cdot 2b} - \frac{a}{9b^2} = \left(\frac{8}{3} - 1\right) \frac{a}{9b^2} = \boxed{\frac{a}{27b^2} = p_c}$$

$$v_c = 1 \Rightarrow b = \frac{1}{3}$$

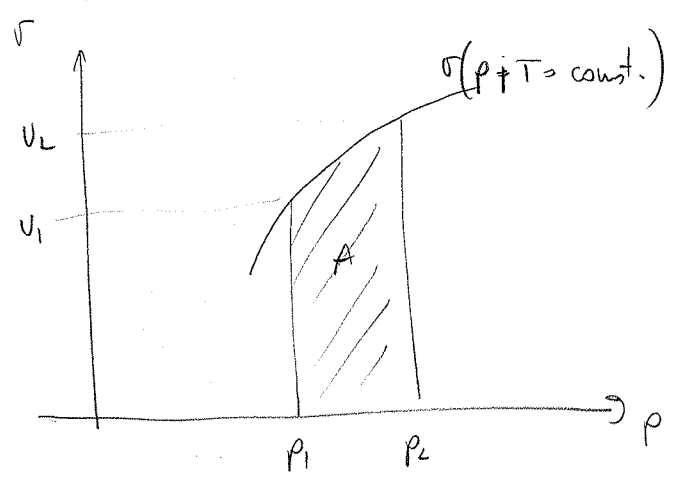
$$p_c = 1 \Rightarrow a = 27 \frac{1}{9} = 3$$

$$T_c = 1 \Rightarrow R = \frac{8 \cdot 3^3}{27 \cdot 3} = \frac{8}{3}$$

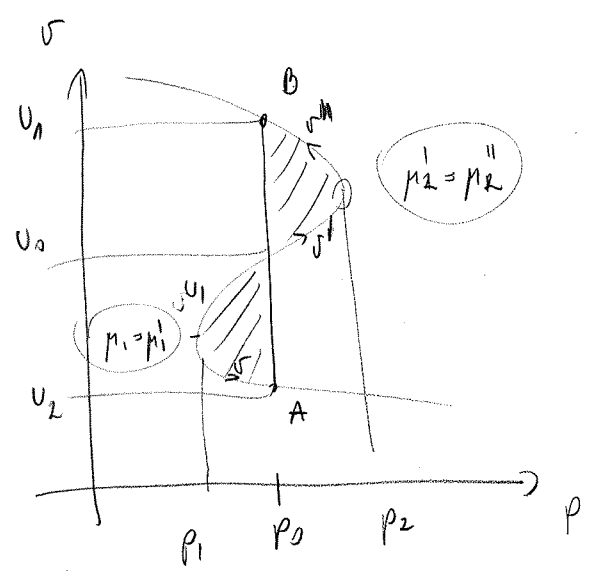
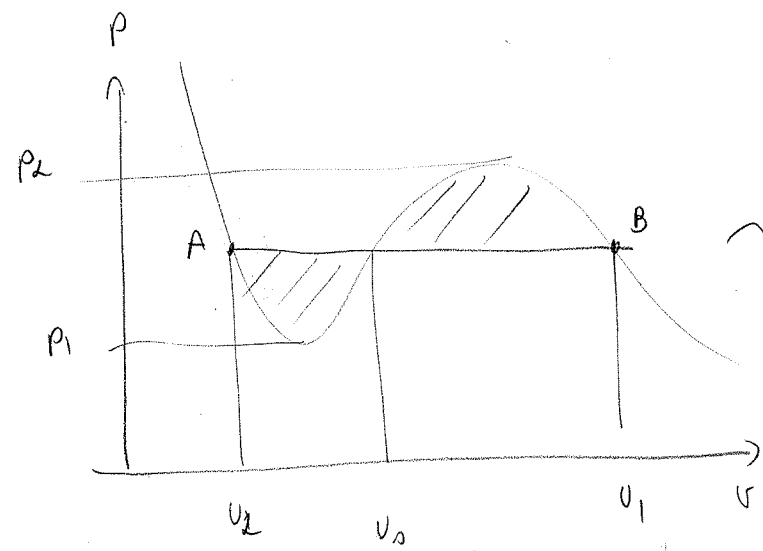


Binodal - coexistence

$$\left. \begin{array}{l} de = T ds - p dv \\ e = T s - p v + \mu \end{array} \right\} d\mu = -s dT + v dp \quad \mu(T, p)$$



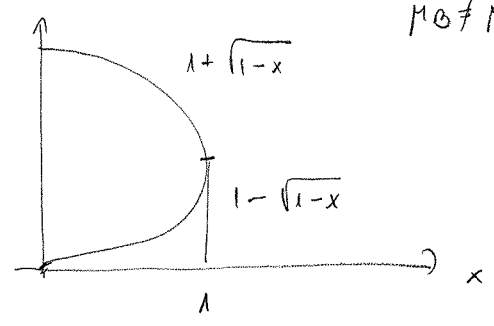
$$A = \int_{p_1}^{p_2} v(p) dp = \mu(p_2, T) - \mu(p_1, T)$$



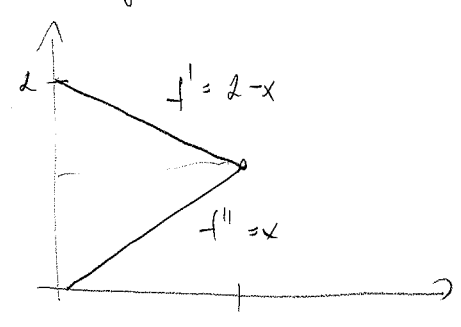
$$\int_A^B v dp = \int_{p_0}^{p_1} v dp + \int_{p_1}^{p_0} v' dp + \int_{p_0}^{p_2} v'' dp + \int_{p_2}^{p_0} v''' dp = \mu_1 - \mu_0 + \mu_0 - \mu_1 + \mu_2 - \mu_0 + \mu_0 - \mu_2 +$$

$$+ \mu_0 - \mu_2 = \mu_0 - \mu_2 = -\mu(T_1, v_2) + \mu(T_1, v_1)$$

Rem:



$\mu_B \neq \mu_A$  is not a trivial fact.



$$\mu^1 = 2x - x^2$$

$$\mu^2 = x^2$$

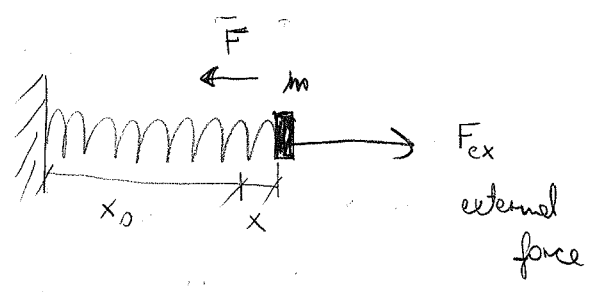
**Def:** PHASE : coexistence - different phase

$(p, T)(e, v)$  is invertible and maximal with that property  
 $Ph \subset \text{Dom}(p, T)(e, v)$

# Spring - total and internal energies

$$\left. \begin{aligned}
 E_e &= -Dx \\
 E_e &= D \frac{x^2}{2} \\
 K &= \frac{m \dot{x}^2}{2} \\
 u &= \dot{x}
 \end{aligned} \right\} \text{statics}$$

$$\begin{aligned}
 \dot{E}_e &= D \dot{x} x' \\
 K' &= m u \dot{u} \\
 m \ddot{u} &= F_{ex} - F
 \end{aligned}$$



$$\begin{aligned}
 W &= F_{ex} x \\
 P_{ex} &= F_{ex} u
 \end{aligned}$$

$$E_T = E_e + K + E_i$$

$$\frac{dE_T}{dt} = \dot{Q} + P_{ex} = \dot{Q} + F_{ex} u = \dot{E}_e + K' + \dot{E}_i = D \dot{x} u + m u \dot{u} + \dot{E}_i$$

$$-\dot{E}_i = \dot{Q} + \cancel{F_{ex} u} - (F_{ex} - F) u - D \dot{x} u$$

$$\dot{E}_i = \dot{Q} + (F - D \dot{x}) u \quad \rightsquigarrow \quad \boxed{dE_i = T dS + (F - D \dot{x}) dx}$$

$$E_i = E_T - E_e - K$$

- $E_T$  - total
  - $K$  - kinetic
  - $E_{el}$  - elastic
  - $E_i$  - internal
- $$u = E_i + E_{el} = \text{internal}$$

- $F_{ex}$  - external
- $F_{el} = D \dot{x}$  - elastic
- $F$  - actual
- $F - D \dot{x}$  - dissipative

$$du = d\left(E_i + \frac{D \dot{x}^2}{2}\right) = T dS + F dx$$

$$\boxed{du = T dS + F dx}$$

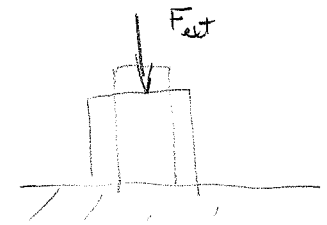
$$u = E_T - K$$

$$S(u, x) = S\left(E_i + \frac{D \dot{x}^2}{2}, x\right) = \hat{S}(E_i, x)$$

Remarks:

(i) phases

- gas - no equilibrium [external force]
- liquid - eq. volume
- solid - e.g. shape

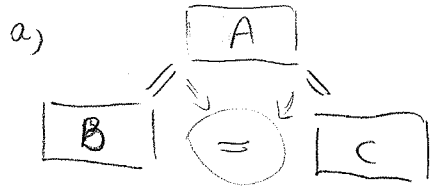


(ii) extensivity  $\rightarrow$  solids: shape dependence

$$\epsilon_{ij} - \text{deformation} / \sigma_{ij} = -p_{ij} \quad \text{stress} = - \text{pressure} \quad \text{shear volume}$$

# Laws of thermodynamics

0th  $\rightarrow$  equilibrium

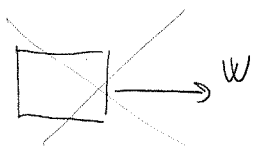


b) intensives are equal:

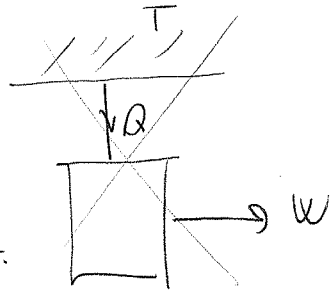
1st energy conservation

(heat and work)

$$E' = Q + W + G \quad (\delta Q / \delta W / \delta G)$$



2nd a) "It is impossible to construct a machine working periodically and produce no effect except performing work and cooling a heat-reservoir." (Kelvin-Planck)



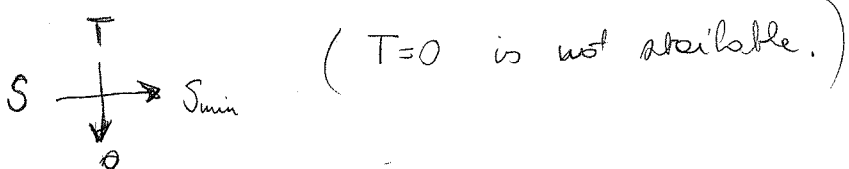
b) Clausius  
 $S' \geq 0$  in isolated systems

c) Heat can flow from the hotter to the colder.

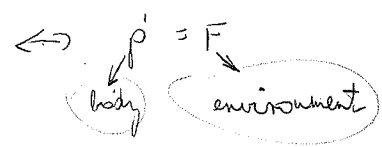
d) Pinguine:

$$dS = d_e S + d_i S \quad \begin{cases} d_e S = Q/T & (\text{open!}) \\ d_i S \geq 0 \end{cases}$$

3rd



- Statistical physics  $\leftrightarrow$  universal.
- $E' = \underbrace{Q + W + G}_{\text{environment}} = \underbrace{T S' - p V' + \mu N'}_{\text{body}}$



Story:

1<sup>th</sup>: J. R. von Meyer (physician) (1842 May)  
J. P. Joule (amateur) (1842 Aug.)

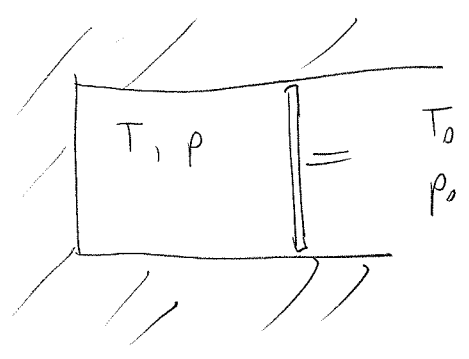
2<sup>nd</sup>: Sadi Carnot (military engineer) 1824.

father: <sup>Lazare</sup> Carnot (military engineer)  
(Great Carnot / Organizer of the Victory)  
one of the leaders of the Revolution / minister of war of Napoleon

brother: <sup>Lazare</sup> Hippolyte Carnot - reactor pt life  
cousin: Sadi - president of the Third Republic (1887-94, assassination)

engineers: Carnot / Clapeyron / Rankine }  
physicians: Meyer / Helmholtz } fight with  
public servants: Joule / Lazare Carnot } physicists  
amateur: Joule }  
↓ natural philosophy → general reasons

$\frac{de}{dt} = \dot{q} + \dot{w} = \dot{q}(e, v) + \dot{w}(e, d)$  } differential equation  
 $\frac{dv}{dt} = f(e, v)$  (?)



(0<sup>th</sup>) a)  $\dot{q}(T, p, T_0, p_0)$   
 $\dot{w}(T, p, T_0, p_0)$   
 $f(T, p, T_0, p_0)$   
b)  $(\dot{q}, \dot{w}, f)(T_0, p_0, T_1, p_1) = (0, 0, p)$

Example 1

$$\left. \begin{aligned} \dot{q} &= -\alpha(T - T_0) \\ \dot{v} &= -\rho f \\ f &= \beta(p - p_0) \end{aligned} \right\}$$

$$e' = -\alpha(T - T_0) - \rho v'$$

$$v' = \beta(p - p_0)$$

$$p = \frac{RT}{v} \quad cT = e \Rightarrow T = \frac{e}{c} \quad \rho = \frac{R}{c} \frac{e}{v}$$

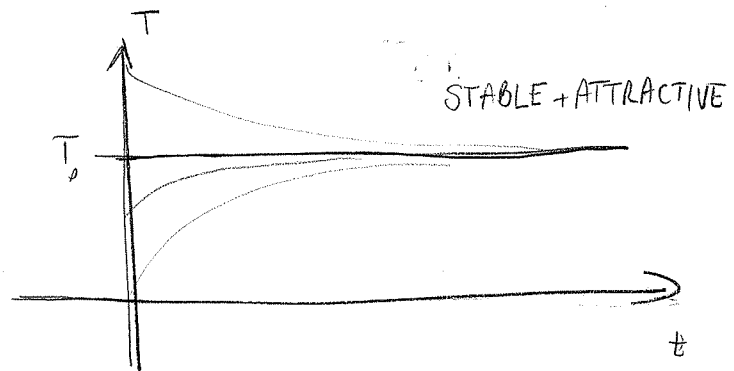
$$e' = -\alpha \left( \frac{e}{c} - T_0 \right) + \frac{R}{c} \frac{e}{v} \beta \left( \frac{R}{c} \frac{e}{v} - p_0 \right)$$

$$v' = -\beta \left( \frac{R}{c} \frac{e}{v} - p_0 \right)$$

$$\rho = 0 \quad e' = -\alpha \left( \frac{e}{c} - T_0 \right) \Rightarrow cT' = -\alpha(T - T_0)$$

$$\left. \begin{aligned} T(t) &= T_0 + k e^{-\frac{\alpha}{c}t} \\ T(0) &= T_i = T_0 + k \end{aligned} \right\}$$

$$T(t) = T_i + T_0(1 - e^{-\frac{\alpha}{c}t})$$



Second Law

a)  $\exists S$

(thermodynamic potentials)

} asymptotic

b)  $S$  is concave

(stability)

} stability

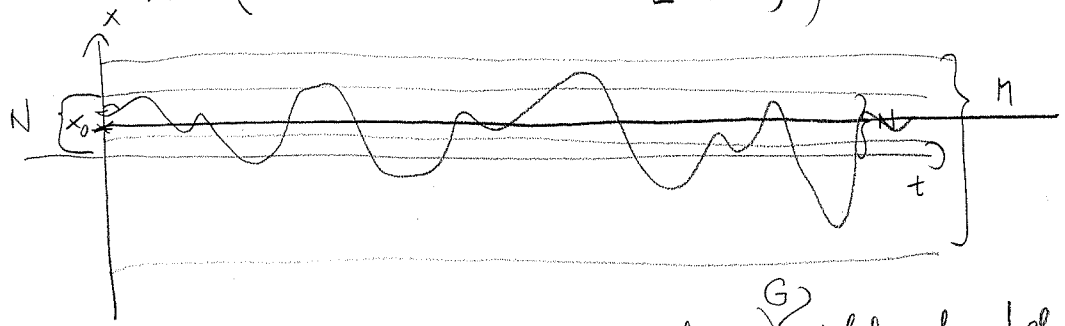
c)  $S \geq 0$

3 Math. interplay - Lyapunov stability

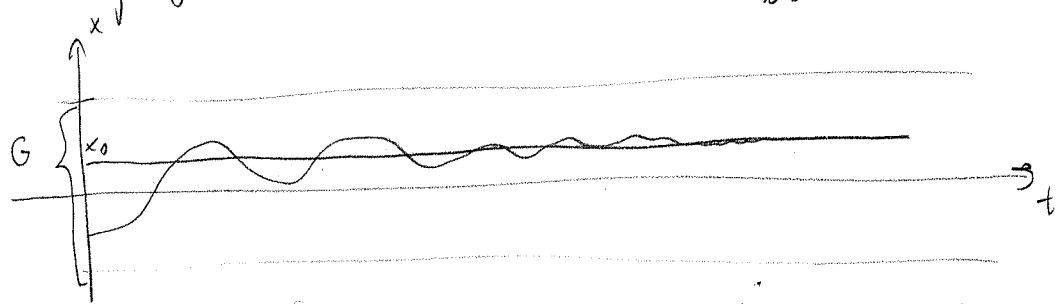
(\*)  $\frac{d}{dt} x^i = f^i(x^j) = f^i(x^1, \dots, x^n)$  autonomous differential equation  $x^i: \mathbb{R} \rightarrow \mathbb{R}^n$ ;  
 $t \mapsto x^i(t)$ ,  $f^i: \mathbb{R}^n \rightarrow \mathbb{R}^n$

Def 1  $x_0^i \in \mathbb{R}^n$  is an equilibrium of (\*) if  $x_0^i \in \text{Dom } f^i$  and  $f^i(x_0^i) = 0^i$

Def 2  $x_0^i$  equilibrium of (\*) is stable if  $\forall N$  neighbourhood of  $x_0^i$  there is a neighbourhood  $M$  (of  $x_0^i$ ) so that  $\forall x^i(t)$  starting from  $N$  ( $x^i(0) \in N$ )  $x^i(t)$  proceeds in  $M$  ( $x^i(t) \in M \forall t \in [0, \infty)$ )

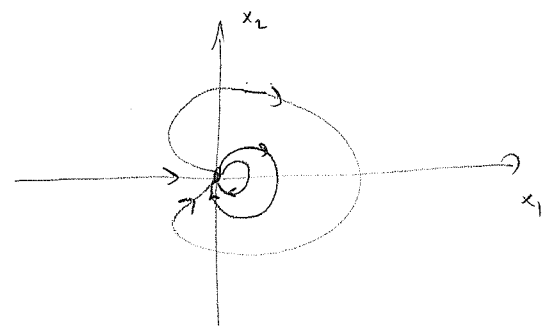


Def 3  $x_0^i$  equilibrium of (\*) is attractive if exists a neighbourhood  $G$  of  $x_0^i$  so that  $x^i(t)$  starting from  $G$  tends to  $x_0^i$  ( $x^i(t) \xrightarrow[t \rightarrow \infty]{} x_0^i$   $x^i(0) \in G$ ).



Def 4  $x_0^i$  equilibrium is asymptotically stable if stable and attractive.

stable  $\not\Rightarrow$  attractive  
 attractive  $\not\Rightarrow$  stable



Def 5 Derivative along (\*):  
 $L: \mathbb{R}^n \rightarrow \mathbb{R}$   $L^\square := \mathcal{D}L \cdot f: \mathbb{R}^n \rightarrow \mathbb{R}$

$$\frac{d}{dt} L(x^1, \dots, x^n) = \underbrace{\left( \frac{\partial L}{\partial x_1}, \frac{\partial L}{\partial x_2}, \dots, \frac{\partial L}{\partial x_n} \right)}_{\mathcal{D}_x L} \begin{pmatrix} \dot{x}^1 \\ \dot{x}^2 \\ \dots \\ \dot{x}^n \end{pmatrix} = \sum_{i=1}^n \frac{\partial L}{\partial x_i} f^i = \mathcal{D}_x L f^i(x^j(t)) = L^\square(x^j(t))$$

Def 6:  $L: \mathbb{R}^n \rightarrow \mathbb{R}$  is a Lyapunov function of an  $x_0^i$  equilibrium of

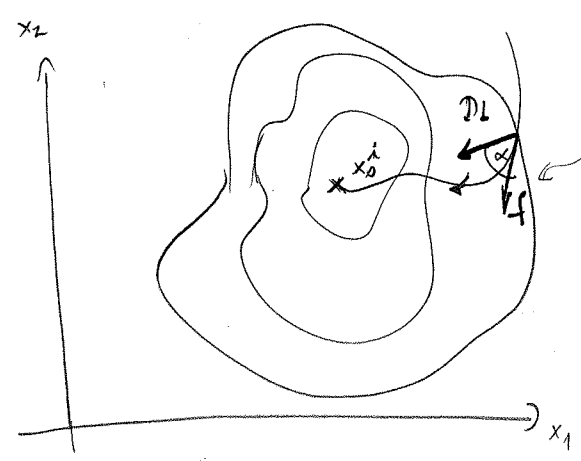
- (\*) if
- (i)  $L$  has a strict maximum at  $x_0^i$  ( $L(x_0^i) \geq L(x^i)$ )
  - (ii)  $L^{\square}$  has a strict minimum at  $x_0^i$ . ( $L^{\square}(x_0^i) \leq L^{\square}(x^i)$ ) increasing  
decreasing along  
(\*)

Def 7:  $L$  has a strict maximum, if  $L(x_0^i) \geq L(x^i) \forall x^i \in N(x_0^i)$  and equality is valid iff  $x_0^i = x^i$ .

Rem: -  $L(x_0^i) = 0$  is not a restriction  $0 \geq L(x^i) / 0 \leq L^{\square}(x^i)$   
 - max.  $\leftrightarrow$  min.

Theorem (Lyapunov): If an equilibrium solution  $x_0^i$  of (\*) has a Lyapunov function, then  $x_0^i$  is asymptotically stable.

Proof



contour lines  $\nabla L \perp f^i$

$$D_L \cdot f = |D_L| |f| \cos \alpha \geq 0$$

$\cos \alpha > 0$

$$\therefore -\pi/2 < \alpha < \pi/2$$

Example

$$\dot{T} = -\alpha(T - T_0)$$

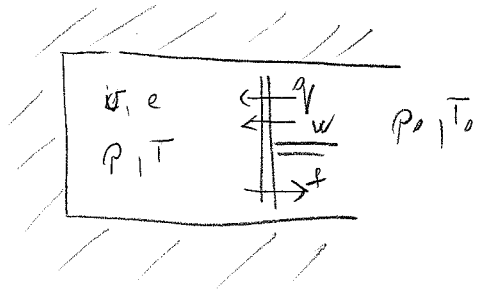
$$L(T) = -(T - T_0)^2 \quad L'(T) = -2(T - T_0)$$

$$L^{\square} = L' \cdot f = -2(T - T_0)^2(-\alpha) = 2\alpha(T - T_0)^2 > 0$$

(\*)

$$\frac{de}{dt} = q(e, v) + w(e, v)$$

$$\frac{dv}{dt} = f(e, v)$$



Properties:

static eqs:  $p(e, v), T(e, v)$

(i)  $\exists S(e, v) \Leftrightarrow \partial_v \frac{1}{T} = \partial_e \frac{p}{T}$  (2nd law/A)

(ii)  $S$  is concave  $\Rightarrow D^2 S$  neg. def. (thd. stability)

$$\partial_e T|_v > 0 \quad \partial_v p|_T < 0$$

interaction  $q, w, f$

(i)  $\exists$  equilibrium  $T = T_0, p = p_0$  (0th law)

$$(q, w, f)(T, T_0, p, p_0)$$

$$(q, w, f)(T_0, T_0, p, p_0) \equiv (0, 0, 0)$$

(ii) dissipation

$$-q \frac{(T - T_0)}{T} - \frac{w}{p} (p - p_0) \geq 0 \quad \text{(2nd law/2)}$$

(iii) classical work:

$$w = -p f$$

a,  $p > p_0 \Rightarrow f > 0 / T > T_0 \Rightarrow q < 0$   
 b,  $p < p_0 \Rightarrow f < 0 / T < T_0 \Rightarrow q > 0$

Theorem:

$$L(e, v) = S(e, v) - \frac{e}{T_0} - \frac{p_0}{T_0} v \text{ is a Lyapunov f. of eq. (1)}$$

Proof:

$$DL = \left( \frac{1}{T} - \frac{1}{T_0}, \frac{p}{T} - \frac{p_0}{T_0} \right)$$

$$D^2 L = D^2 S \Rightarrow \text{concave} \Rightarrow (e_0, v_0) \text{ is a max.}$$

$$D^{\square} L = \left( \frac{1}{T} - \frac{1}{T_0} \right) (q - p f) + \left( \frac{p}{T} - \frac{p_0}{T_0} \right) f = q \left( \frac{1}{T} - \frac{1}{T_0} \right) + \frac{p f}{T} + \frac{p f}{T_0} + \frac{p f}{T} - \frac{p_0 f}{T_0}$$

$$= q \left( \frac{1}{T} - \frac{1}{T_0} \right) + \left( \frac{p}{T_0} - \frac{p_0}{T_0} \right) f - \frac{1}{T} \left[ -q \frac{(T - T_0)}{T} + (p - p_0) f \right] \geq 0 \quad \text{QED}$$

Corr 1. Entropy of the environment.

$$\frac{\partial s_A}{\partial e_A} = \frac{1}{T_0} \quad \frac{\partial s_A}{\partial v_A} = \frac{p_0}{T_0} \Rightarrow s_A(e_A, v_A) = \frac{e_A}{T_0} + \frac{p_0}{T_0} v_A + S$$

$$\left. \begin{matrix} e_T = e + e_A \\ v_T = v + v_A \end{matrix} \right\} s_A(e_T - e, v_T - v) = -\frac{e}{T_0} - \frac{p_0}{T_0} v + \underbrace{\frac{e_T}{T_0} + \frac{p_0}{T_0} v_T + S}_{K = \text{const}}$$

$$L = s(e, v) - \frac{e}{T_0} - \frac{p_0}{T_0} v + K$$

$$T_0 L = T_0 s - e - p_0 v \rightarrow \text{energy / max. available work}$$

Rem 1 No need of entropy (!).

Corr 2 Gibbs relation:

$$de = T ds - p dv \leftrightarrow \frac{de}{dt} = q + w = T s^{\square} - p \frac{dv}{dt} !$$

$$s^{\square} = \frac{1}{T} (q - p \dot{v}) + \dot{v} = \frac{q}{T} = \boxed{dq_{rev}} \quad (\text{reversible change})$$

- variable transformations (differentials)
- existence (definition) of entropy
- energy conversion

Corr 3 Onsagerian interaction

$$0 \leq -q \frac{(T - T_0)}{T} + f (p - p_0)$$

$$q, f = ?$$

$$q = -\alpha_1 (T - T_0) - \alpha_2 (p - p_0)$$

$$f = \beta_1 (T - T_0) + \beta_2 (p - p_0)$$

$$0 \leq -q \frac{(T - T_0)}{T} + f (p - p_0) = \frac{\alpha_1}{T} (T - T_0)^2 + \frac{\alpha_2}{T} (T - T_0) (p - p_0) + \beta_1 (T - T_0) (p - p_0)$$

$$+ \beta_2 (p - p_0)^2 = \frac{\alpha_1}{T} (T - T_0)^2 + \left( \frac{\alpha_2}{T} + \beta_1 \right) (T - T_0) (p - p_0) + \beta_2 (p - p_0)^2 \geq 0$$

$$= (T - T_0, p - p_0) \underbrace{\begin{pmatrix} \frac{\alpha_1}{T} & \frac{1}{2} \left( \frac{\alpha_2}{T} + \beta_1 \right) \\ \frac{1}{2} \left( \frac{\alpha_2}{T} + \beta_1 \right) & \beta_2 \end{pmatrix}}_{+ \text{ def.}} \begin{pmatrix} T - T_0 \\ p - p_0 \end{pmatrix} \geq 0$$

$$\frac{\alpha_1}{T} > 0 \quad \beta_2 > 0$$

$$\alpha_1 \beta_2 - \alpha_2 \beta_1 > 0$$

$$\frac{\alpha_1 \beta_2}{T} - \frac{1}{4} \left( \frac{\alpha_2}{T} + \beta_1 \right)^2 =$$

$$\frac{\alpha_1 \beta_2}{T} - \frac{\alpha_2^2}{4T^2} - \frac{1}{2} \frac{\alpha_2 \beta_1}{T} - \frac{\beta_1^2}{4} =$$

$$\Rightarrow \alpha_1 \beta_2 - \alpha_2 \beta_1 = T \left( \frac{\alpha_2^2}{4T} - \frac{\alpha_2 \beta_1}{2} + \frac{\beta_1^2}{4} \right)$$

$$= \frac{T}{4} \left( \frac{\alpha_2}{T} - \beta_1 \right)^2 \geq 0$$

### Heat engines - Carnot cycle

$$Q_c^+ - Q_c^- = W_c \quad \text{1st law}$$

$$Q(t) = M q (T(t), T_0(t), p(t), p_0(t))$$

$$W(t) = M w ( \quad )$$

$$J^+ = \{ t \in [t_1, t_2] \mid Q(t) > 0 \} \quad \text{absorption}$$

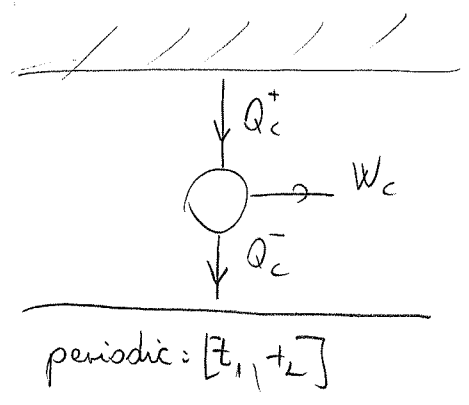
$$J^- = \{ t \in [t_1, t_2] \mid Q(t) < 0 \} \quad \text{emission}$$

$$Q_c^+ = \int_{J^+} Q(t) dt \quad Q_c^- = - \int_{J^-} Q(t) dt$$

$$S(t) = \frac{Q(t)}{T(t)} \quad S(t_1) = S(t_2) \Rightarrow 0 = \int_{t_1}^{t_2} \frac{Q(t)}{T(t)} dt = \int_{J^+} \frac{Q}{T} dt + \int_{J^-} \frac{Q}{T} dt$$

$$T^{+\uparrow} = \max \{ T(t) \mid t \in J^+ \} \quad \text{max absorption temperature}$$

$$T^{+\downarrow} = \min \{ T(t) \mid t \in J^- \} \quad \text{min absorption temperature}$$



$T^{-\uparrow} = \max \{ T(t) \mid t \in \mathcal{J}^- \}$  max. emission temperature

$T^{-\downarrow} = \min \{ T(t) \mid t \in \mathcal{J}^- \}$  min. emission temperature

$\frac{Q_c^+}{T^{+\uparrow}} = \int_{\mathcal{J}^+} \frac{Q}{T^{+\uparrow}} dt \leq \int_{\mathcal{J}^+} \frac{Q}{T} dt = - \int_{\mathcal{J}^-} \frac{Q}{T} dt \leq - \int_{\mathcal{J}^-} \frac{Q}{T^{-\downarrow}} dt = \frac{Q_c^-}{T^{-\downarrow}} \Rightarrow \boxed{\frac{Q_c^+}{T^{+\uparrow}} \leq \frac{Q_c^-}{T^{-\downarrow}}}$

$\frac{Q_c^+}{T^{+\downarrow}} = \int_{\mathcal{J}^+} \frac{Q}{T^{+\downarrow}} dt \geq \int_{\mathcal{J}^+} \frac{Q}{T} dt \geq - \int_{\mathcal{J}^-} \frac{Q}{T^{-\uparrow}} dt = \frac{Q_c^-}{T^{-\uparrow}} \Rightarrow \boxed{\frac{Q_c^+}{T^{+\downarrow}} \geq \frac{Q_c^-}{T^{-\uparrow}}}$

Corr 1,  $-Q_c^+ = 0 \Leftrightarrow Q_c^- = 0$  Kelvin-Planck

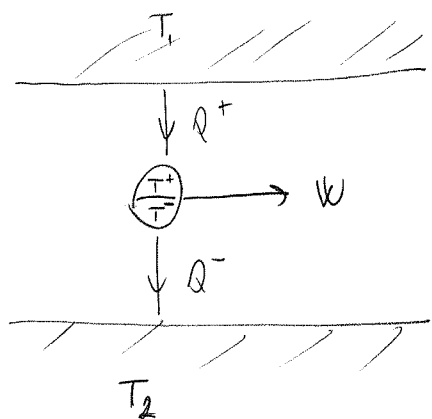
Corr 2,  $\eta_c = \frac{W}{Q_c^+} = 1 - \frac{Q_c^-}{Q_c^+} = 1 - \frac{T^-}{T^+}$  Carnot efficiency

$T^+ = T^{+\downarrow} = T^{+\uparrow}$   
 $T^- = T^{-\downarrow} = T^{-\uparrow}$   
 $T^+/T^- \rightarrow$  temperature of the machine

Corr 3 Kelvin-Planck  $\Leftrightarrow$  Clausius

Corr 4!  $T(t) = \text{const} \Leftrightarrow T_a(t)/p_a(t)$   
 $q \neq 0$

Carnot - Ahlborn machine



$(T^+ / T^-) = \text{const.}$

$$\left. \begin{aligned} Q^+ &= \alpha(T_1 - T^+) = \alpha x \\ Q^- &= \beta(T^- - T_2) = \beta y \end{aligned} \right\} \begin{aligned} T^+ &= T_1 - x \\ T^- &= T_2 + y \end{aligned}$$

$$\left. \begin{aligned} Q_c^+ &= \sigma^+ Q^+ \\ Q_c^- &= \sigma^- Q^- \end{aligned} \right\} \frac{\sigma^+}{\sigma^-} = \frac{Q_c^+}{Q_c^-} \frac{Q^-}{Q^+} = \frac{T^+}{T^-} \frac{\beta y}{\alpha x}$$

$$\frac{Q_c^+}{Q_c^-} = \frac{T^+}{T^-} \quad \text{Carnot}$$

$$\gamma = \frac{T_2 - T_1}{\sigma^+ + \sigma^-} \quad (= \text{const})$$

Maximal average power:

$$\bar{\Pi} = \frac{W_c}{t_2 - t_1} = \frac{Q_c^+ - Q_c^-}{\gamma(\sigma^+ + \sigma^-)} = \frac{\sigma^+ \alpha x - \sigma^- \beta y}{\gamma(\sigma^+ + \sigma^-)} = \frac{\alpha x \frac{T^+ \beta y}{T^- \alpha x} - \beta y}{\gamma \left( \frac{T^+ \beta y}{T^- \alpha x} + 1 \right)}$$

$$= \frac{\alpha \beta x y (T^+ - T^-)}{\gamma (T^+ \beta y + T^- \alpha x)} = \frac{\alpha \beta x y (T_1 - x - T_2 - y)}{\gamma ((T_1 - x) \beta y + (T_2 + y) \alpha x)}$$

$$\hat{\Pi} \left[ -\frac{\gamma}{\alpha \beta} \bar{\Pi} = \frac{x y (T_1 - T_2 - x - y)}{(\alpha - \beta) x y + \alpha T_2 x + \beta T_1 y} \right] = \frac{N}{D} \quad \text{MAX?} \Rightarrow N'D = ND'$$

$$\frac{\partial \hat{\Pi}}{\partial x} = 0 \Rightarrow \left[ \cancel{x(T_1 - T_2 - x - y)} - x y \right] (\alpha - \beta) x y + \alpha T_2 x + \beta T_1 y = \cancel{x y (T_1 - T_2 - x - y)} (\alpha - \beta) y + \alpha T_2$$

$$= x (T_1 - T_2 - x - y) (\alpha - \beta) y + \alpha T_2 x + \beta T_1 y - x (\alpha - \beta) x y + \alpha T_2 x + \beta T_1 y \Rightarrow \beta T_1 y (T_1 - T_2 - x - y) = x (\alpha - \beta) x y + \alpha T_2 x + \beta T_1 y$$

$$\frac{\partial \hat{\Pi}}{\partial y} = 0 \Rightarrow \left[ \cancel{x(T_1 - T_2 - x - y)} - x y \right] (\alpha - \beta) x y + \alpha T_2 x + \beta T_1 y = \cancel{x y (T_1 - T_2 - x - y)} (\alpha - \beta) x + \beta T_1$$

$$= (T_1 - T_2 - x - y) y (\alpha - \beta) x + \beta T_1 + (\cancel{T_2 - T_2 - x - y}) \alpha T_2 x - y (\alpha - \beta) x y + \alpha T_2 x + \beta T_1 y$$

$$\alpha T_2 x (T_1 - T_2 - x - y) = y (\alpha - \beta) x y + \alpha T_2 x + \beta T_1 y \quad \rightarrow \quad \frac{\beta T_1 y}{x} = \frac{\alpha T_2 x}{y}$$

$$x = y \sqrt{\frac{\beta T_1}{\alpha T_2}}$$

$$\beta T_1 y (\tau_1 - \tau_2 - y - y) = y \sqrt{(\alpha - \beta) y^2 + \alpha \tau_2 y + \beta T_1 y}$$

$$= (\alpha - \beta) y^2 \frac{\beta T_1}{\alpha \tau_2} + \beta T_1 y + \beta T_1 \sqrt{\frac{\beta T_1}{\alpha \tau_2}} y$$

$$\boxed{\frac{(\alpha - \beta)}{\alpha \tau_2} y^2 + 2 \left(1 + \sqrt{\frac{\beta T_1}{\alpha \tau_2}}\right) y + \tau_2 - \tau_1 = 0}$$

$$y_{1,2} = \frac{\alpha \tau_2}{2(\alpha - \beta)} \left( -2 \left(1 + \sqrt{\frac{\beta T_1}{\alpha \tau_2}}\right) \pm \sqrt{4 \left(1 + 2 \sqrt{\frac{\beta T_1}{\alpha \tau_2}} + \frac{\beta T_1}{\alpha \tau_2}\right) - 4 \frac{(\alpha - \beta)}{\alpha \tau_2} (\tau_2 - \tau_1)} \right)$$

$$- \left(1 - \frac{\beta}{\alpha} - \frac{\tau_1}{\tau_2} + \frac{\beta T_1}{\alpha \tau_2}\right)$$

$$y_{1,2} = \frac{\tau_2}{1 - \beta/\alpha} \left( -1 - \sqrt{\frac{\beta T_1}{\alpha \tau_2}} \pm \sqrt{\frac{\beta}{\alpha} \pm \sqrt{\frac{\tau_1}{\tau_2}}} \right) = -\tau_2 \frac{\left(1 \mp \sqrt{\frac{\beta}{\alpha}}\right) \left(1 \mp \sqrt{\frac{\tau_1}{\tau_2}}\right)}{\left(1 + \sqrt{\frac{\beta}{\alpha}}\right) \left(1 - \sqrt{\frac{\beta}{\alpha}}\right)}$$

$$\boxed{y_{1,2} = -\tau_2 \frac{1 \mp \sqrt{\frac{\tau_1}{\tau_2}}}{1 \pm \sqrt{\frac{\beta}{\alpha}}}}$$

$$\Rightarrow 1 \mp \sqrt{\frac{\tau_1}{\tau_2}} = -\frac{y}{\tau_2} \left(1 \pm \sqrt{\frac{\beta}{\alpha}}\right) = -\frac{y}{\tau_2} \left(1 \pm \frac{\alpha \tau_2}{y} \sqrt{\frac{\tau_1}{\tau_2}}\right)$$

$$1 \mp \sqrt{\frac{\tau_1}{\tau_2}} = -\frac{1}{\tau_2} \left(\tau_1 - \tau_2 \pm \sqrt{\frac{\tau_2}{\tau_1}} (\tau_1 - \tau_2)\right) = -\frac{\tau_1}{\tau_2} \left(1 \mp \sqrt{\frac{\tau_1}{\tau_2}}\right) \pm \frac{\tau_1}{\tau_2}$$

$$\frac{\tau_1^+}{\tau_1^-} = \sqrt{\frac{\tau_2}{\tau_1}} \Rightarrow \boxed{\eta_{CA} = 1 - \sqrt{\frac{\tau_1}{\tau_2}} < \eta_C}$$

⊕ ↑

$y_1$	$y_2$	$\tau_1 > \tau_2$	$\beta > \alpha$
$-(\frac{-}{+}) \oplus (\frac{+}{+}) \oplus (\frac{+}{-}) \oplus (\frac{+}{+})$	$\tau_1$	i	
$-(\frac{-}{+}) \oplus (\frac{+}{+}) \oplus (\frac{-}{+}) \oplus (\frac{-}{-})$	$\tau_2$	h	
$-(\frac{+}{+}) \oplus (\frac{-}{-}) \oplus (\frac{+}{+}) \oplus (\frac{+}{-})$	$\tau_1$	i	
$-(\frac{+}{+}) \oplus (\frac{-}{-}) \oplus (\frac{-}{+}) \oplus (\frac{-}{-})$	$\tau_2$	h	

$$y = -\tau_2 \frac{1 - \sqrt{\frac{\tau_1}{\tau_2}}}{1 + \sqrt{\frac{\beta}{\alpha}}}$$

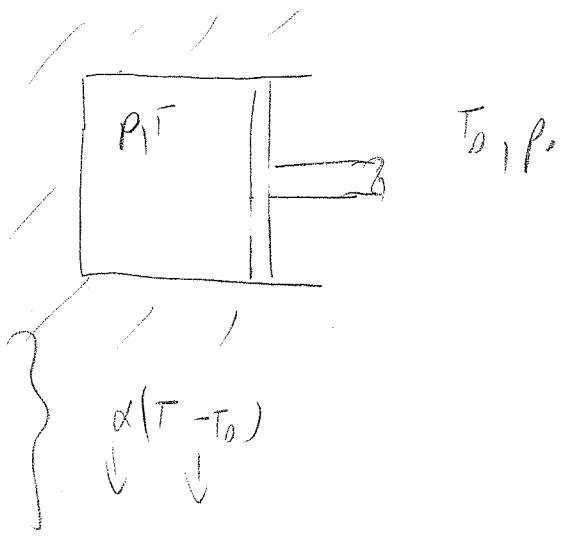
dozell

Sources of irreversibility

$$-\frac{q}{T} (T_1 - T_0) + f(p - p_0) \geq \dot{P}$$

Reducing irreversibility:

- $\sigma$  - thermal insulation
- $T_1 - T_0$  - temperature homogenisation
- $f$  - slow volume change
- $p_1 - p_0$  - work reduction
- pressure homogenisation



Model:

- 1.)  $\sigma(p - p_0) > 0$       oscillation ?
- 2.)  $dS = d_e S + d_i S = \frac{\dot{Q}}{T} + \frac{d_i S}{V}$
- 3.) internal irreversibilities ??

$$m\ddot{x} = A(p - p_0) \quad (?)$$

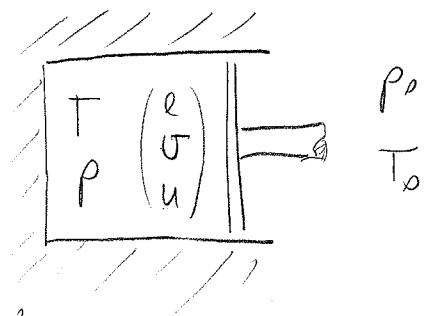
Generalisation - extended simple system

$$\frac{de}{dt} = \tilde{q} - \tilde{p}u$$

$$\frac{dV}{dt} = u$$

$$\frac{du}{dt} = \frac{1}{\gamma} (\tilde{p} - p_a)$$

$\gamma = \text{const.}$



$$e_T = e + \gamma \frac{u^2}{2}$$

$s(e, \gamma)$

$$de = T ds - p dV$$

Dynamic pressure - extended state space:

$$\left. \begin{aligned} \tilde{p}(e, v, u) &= p(e, v) + \hat{p}(e, v, u) \\ p(e, v) &:= \tilde{p}(e, v, 0) \end{aligned} \right\} \hat{p}(e, v, 0) = 0$$

Equilibrium:

$$\tilde{q}(T, T_0, p, p_0, u) \quad \tilde{q}(T_0, T_0, p_0, p_0, 0) = 0$$

Stability:

$$L(e, v, u) = h(e, v) - \frac{1}{T_0} \left( e + \frac{\gamma u^2}{2} \right) - \frac{p_0}{T_0} v$$

$$\left( e + e_0 + \frac{\gamma u^2}{2} = \text{const} \right)$$

$$DL = \left( \frac{1}{T} - \frac{1}{T_0}, \frac{p}{T} - \frac{p_0}{T_0}, -\frac{\gamma u}{T_0} \right) \quad DL(e_0, v_0, 0) = (0, 0, p_0)$$

$$D^2L = \begin{pmatrix} \partial_e \frac{1}{T} & \partial_e \frac{p}{T} & 0 \\ \partial_v \frac{1}{T} & \partial_v \frac{p}{T} & 0 \\ 0 & 0 & -\gamma/T_0 \end{pmatrix} = - \begin{pmatrix} \frac{\partial_e T}{T^2} & -\partial_e \frac{p}{T} & 0 \\ \frac{\partial_v T}{T^2} & -\partial_v \frac{p}{T} & 0 \\ 0 & 0 & \gamma/T_0 \end{pmatrix} = \begin{pmatrix} D^2_1 & 0 \\ 0 & -\gamma/T_0 \end{pmatrix}$$

$$+ \Delta_1 = \frac{1}{T^2} \partial_e T > 0$$

$$\Delta_2 = -\frac{1}{T^2} (\partial_e T \partial_v \frac{p}{T} - \partial_v T \partial_e \frac{p}{T}) = \dots = -\frac{1}{T^3} \overset{\wedge}{\partial_e T} \overset{\vee}{\partial_v p|_T} \geq 0$$

$$\Delta_3 = \Delta_2 \gamma/T_0 \rightarrow \boxed{\gamma > 0}$$

$$L^u = \left( \frac{1}{T} - \frac{1}{T_0} \right) (\tilde{q} - \tilde{p}u) + \left( \frac{p}{T} - \frac{p_0}{T_0} \right) u - \frac{\gamma u}{T_0} \frac{1}{\delta} (\tilde{p} - p_0)$$

$$= \left( \frac{1}{T} - \frac{1}{T_0} \right) \tilde{q} - \tilde{p}u \left( \frac{1}{T} - \frac{\gamma}{T_0} \right) + \frac{p_0 u}{T} - \frac{p_0 \gamma}{T_0} - \frac{\tilde{p} \gamma}{T_0} + \frac{p_0 \gamma}{T_0}$$

$$\boxed{\frac{1}{T} \left( \tilde{q} \frac{(T_0 - T)}{T_0} - \tilde{p}u \right) \geq 0}$$

$$\left. \begin{aligned} u > 0 & \quad \hat{p} < 0 \\ u < 0 & \quad \hat{p} > 0 \end{aligned} \right\} \hat{p} = -ku \quad k > 0$$

Cor 1: Entropy

$$s^0 = \frac{e^i}{T} + \frac{p v^i}{T} = \frac{1}{T} (q - \tilde{p} \tilde{v}^i) + \frac{p v^i}{T} = \frac{q}{T} - \frac{\tilde{p} u}{T} = d_{+} s + d_{-} s$$

$\begin{matrix} u \\ v \\ p \end{matrix}$

Cor 2 Reversible - irreversible - ~~time reversal~~

$$\frac{de}{dt} = q - p f$$

$$\frac{dv}{dt} = f$$

$$\frac{de}{dt} = \tilde{q} - \tilde{p} u$$

$$\frac{dv}{dt} = u$$

$$\frac{du}{dt} = \tilde{f} = \frac{1}{\gamma} (\tilde{p} - p_0)$$

can be stopped

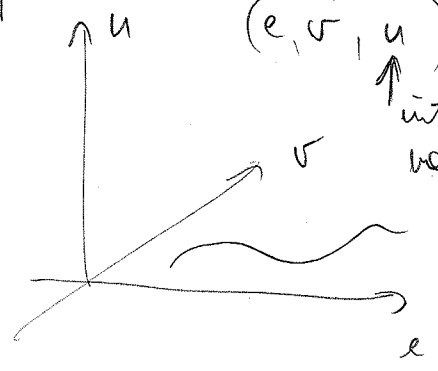
$$T = T_a / p = p_a$$

external control

cannot be stopped

e.g. state space  
 $(e, v, u)$   
 ↑ internal variable

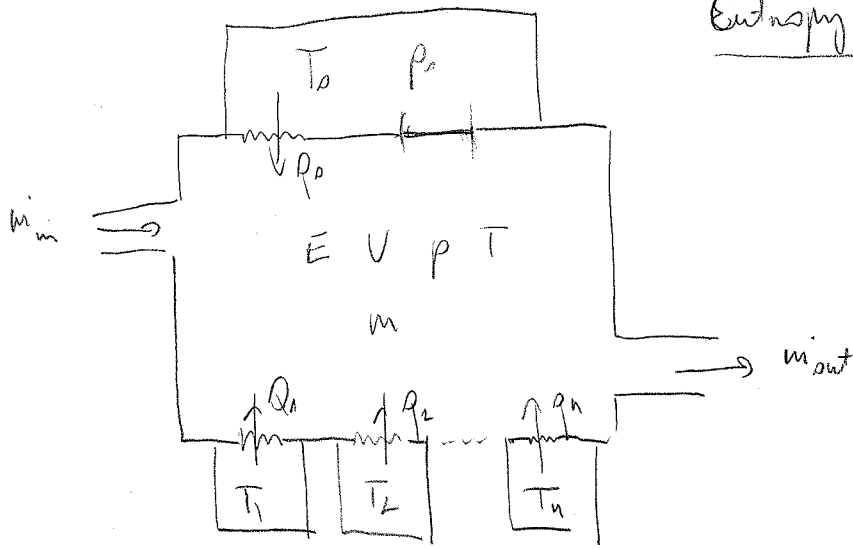
quasistatic ~~process~~ irreversible  
 (body/model)



Cor 3 Optimization → (25)

# Entropy generation minimization

(15)



⇒ heterogent modell!

$$Q_i = m q_i \leftarrow (n) \quad m_i q_i \neq Q_i$$

$$E = m \epsilon e$$

$$S = m s$$

$$s_i = \frac{q_i}{T_i} \quad i = 1, \dots, n$$

$$e' = \sum_{i=0}^n q_i - p_0 v'$$

$$E' = m e + e' m = m e + m \left( \sum_{i=0}^n q_i - p_0 v' \right)$$

$$\left( \frac{V}{m} \right)' = \frac{V' - v m'}{m}$$

$$(1) \quad \dot{E}' = \dot{Q}_0 + \sum_{i=1}^n \dot{Q}_i - p_0 \dot{V}' + \underbrace{(e + p_0 v) m'}_h$$

$$m s_T' = m \left( \frac{s_T}{m} \right)' = s_T' - s_T m'$$

$$s_T' = s + s_{ot} + \sum_{i=1}^n s_i \Rightarrow$$

$$s_T' = s' - \frac{q_0}{T_0} - \sum_{i=1}^n \frac{q_i}{T_i} \quad (21)$$

$$m \sum q_i = Q$$

$$(2) \quad 0 \leq T_0 m s_T' = -m' T_0 s_T + T_0 (m s_T)' - \left( q_0 + \sum_{i=1}^n q_i \frac{T_0}{T_i} \right)$$

$$= -T_0 s_T m' + \frac{Q}{T_0} - \sum_{i=1}^n Q_i \frac{T_0}{T_i} - \left( E' - \sum_{i=1}^n Q_i + p_0 V' - h m' \right) + p_0 V' - p_0 V'$$

$$= - \underbrace{\left( E' + p_0 V' - T_0 \frac{Q}{T} \right)}_{E_x - \text{energiarörelse}} + \sum_{i=1}^n Q_i \left( 1 - \frac{T_0}{T_i} \right) + \underbrace{\left( h - T_0 s_T \right) m'}_{S'_{tot}} - \underbrace{(p - p_0) V'}_{W'}$$

elöjel??  
jövö!!

$$0 \leq T_0 S_T' = W_{rev} - W_{es} = W_{lost}$$

Gany-Stodola theorem

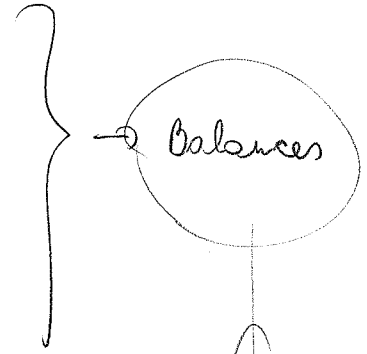
Spec:

$m' = 0$   
 $Q_i = 0$  } → energy analysis

ny: reversibilis/hatásos és heterogent rendszerre is!!

# Continuum thermodynamics - fluids

- Second law
- Material symmetries - isotropy
- objectivity - material frame indifference



homogeneous  $\xrightarrow[\text{equilibrium}]{\text{local}}$  Second law  $\rightarrow$  material/constitutive models

Fields:  $\rho(t, x^i)$  time and space dependence

extensives: density / specific

homogeneous:

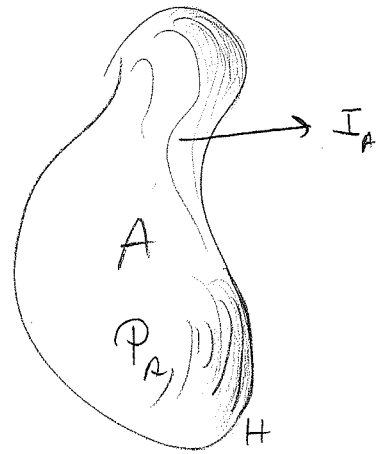
$$\rho_A = A/V$$

$$\rho = A/M$$

spec.  $\rho = M/V$   $v = V/M$   $\rho_A = \rho a$

inhomogeneous:

$$A(t) = \int_H \rho_A(t, x^i) dV$$



Balances:

$$\frac{dA}{dt} = -I_A + P_A$$

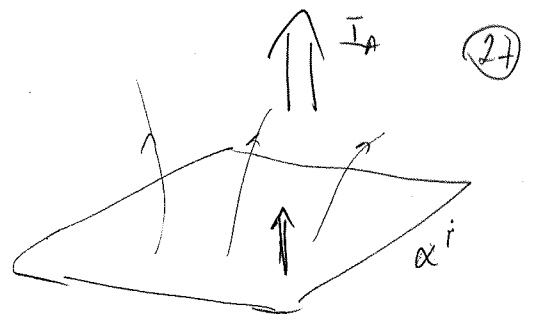
$P_A$  production

$I_A$  flux

Surface densities:

homogeneous:

$$\bar{J}_A^i = \frac{J_A^i}{|\alpha^i|} \iff \bar{J}_A = \alpha^i \cdot \bar{J}_A^i$$



inhomogeneous:

$$\bar{J}_A^i(t) = \int J_A^i(t, x^i) d\alpha^i$$

$$\frac{dA}{dt} + \bar{J}_A = \rho_A = \underbrace{\frac{d}{dt} \int_H \rho_A(t, x^i) dV}_{\rho_A(t)} + \underbrace{\oint_{\partial H} J_A^i(t, x^i) d\alpha^i}_{\rho_A(t)} = \int_H \sigma_A(t, x^i) dV$$

$\sigma_A$  - production density  
 $\rho_A(t)$   
 global (integral) balance

$$\oint_{\partial H} a^i d\alpha^i = \int_H \partial^i a^i dV$$

Gauss - Ostrogradsky theorem

$\forall H$  subsets!

$$(i) \int_H \left( \frac{\partial}{\partial t} \rho_A + \partial^i J_A^i - \sigma_A \right) dV = 0 \implies \boxed{\frac{\partial}{\partial t} \rho_A + \partial^i J_A^i = \sigma_A}$$

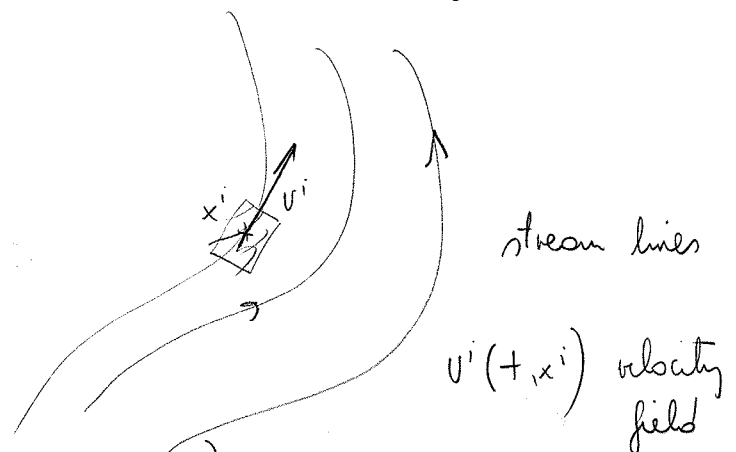
local balance

$\bar{J}_A^i$   $\left\{ \begin{array}{l} \rho_A v^i \\ J_A^i \end{array} \right.$  - convective  
 - conductive

$$(ii) \frac{d}{dt} a(t, x^i(t)) = \frac{\partial a}{\partial t} + \partial^i a \frac{\partial x^i}{\partial t} =$$

$$\boxed{a^i = \frac{\partial a}{\partial t} + v^i \partial^i a}$$

substantial (convective) derivative



Mass:  $\rho_A = \rho / a = 1$

$$\boxed{0 - \frac{\partial \rho}{\partial t} + \partial^i(\rho v^i)} = \frac{\partial \rho}{\partial t} + v^i \partial^i \rho + \rho \partial^i v^i = \boxed{\rho^i + \rho \partial^i v^i = 0}$$

local

substantial balance of mass.

$$\frac{\partial \rho a}{\partial t} + \partial^i(\rho a v^i + j_A^i) = \sigma_A = \rho \frac{\partial a}{\partial t} + a \frac{\partial \rho}{\partial t} + \rho v^i \partial^i a + \cancel{a \partial^i(\rho v^i)} + \partial^i j_A^i =$$

$$\boxed{\rho \dot{a} + \partial^i j_A^i = \sigma_A}$$

substantial balance of A.

Mathematical interplay  $k$  - index notation  
(bookkeeping of tensor derivatives)

$$x^i = \bar{x} = \begin{pmatrix} x^1 \\ x^2 \\ x^3 \end{pmatrix}$$

vector

row  $\nwarrow$  column  $\nearrow$   
 $B^i_j =$

$$\begin{pmatrix} B^{11} & B^{12} & B^{13} \\ B^{21} & B^{22} & B^{23} \\ B^{31} & B^{32} & B^{33} \end{pmatrix}$$

- tensor  
( $\leftrightarrow$  matrix)

transpose:  $A^k = (A^j_i)^t = A^i_j$

independent of coordinates - abstract indices

Scalar product:

$$\bar{x} \cdot \bar{y} = (x^1 \ x^2 \ x^3) \begin{pmatrix} y^1 \\ y^2 \\ y^3 \end{pmatrix} = x^1 y^1 + x^2 y^2 + x^3 y^3 = \sum_{i=1}^3 x^i y^i = x^i y^i \quad (\text{Einstein convention})$$

indices: free contracted

trace:  $\text{tr} A = A^{ii} = \sum_{i=1}^3 A^{ii} = A^{11} + A^{22} + A^{33}$

Derivatives of fields.  $a(t, x^i)$

$$\frac{\partial a}{\partial t} = \frac{\partial a}{\partial t} \Big|_{x^i} \quad \partial^i a = \left( \frac{\partial a}{\partial x^1} \mid \frac{\partial a}{\partial x^2} \mid \frac{\partial a}{\partial x^3} \right)$$

$\partial^i$  - vector AND derivative

$$\partial^i A^j = (\partial^1 \partial^2 \partial^3) \begin{pmatrix} A^{11} & A^{12} & A^{13} \\ A^{21} & A^{22} & A^{23} \\ A^{31} & A^{32} & A^{33} \end{pmatrix} = \begin{pmatrix} \partial^1 A^{11} + \partial^2 A^{21} + \partial^3 A^{31} \\ \partial^1 A^{12} + \partial^2 A^{22} + \partial^3 A^{32} \\ \partial^1 A^{13} + \partial^2 A^{23} + \partial^3 A^{33} \end{pmatrix}$$

Identity tensor:

$$\delta^i_j = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad v^i \delta^j_i = v^j$$

Leibniz - index:

$$\nabla \cdot (a \cdot b) = a \cdot \nabla b + b \cdot \nabla a$$

$$\partial^i (a^j b^k) = a^j \partial^i b^k + b^k \partial^i a^j$$

Examples:

- $\partial^i x^j = \delta^j_i$
- $\partial^i x^i = 3$
- $\partial^i t = x^i / t$
- $\partial^i (1/r) = -x^i / r^3$

$$r = \sqrt{x^i x^i}$$

- $\partial^i (v^j p^i) = (\partial^i v^j) p^i + v^j \partial^i p^i$
- $\partial^i (p v^i) = p \partial^i v^i + v^i \partial^i p$

$$\partial^i (x^i / t) = -\frac{2}{t^3} x^i$$

Local and substantial forms of the basic balances

$(a=1, j_A^i=0)$	$\frac{\partial \rho}{\partial t} + \partial (p v^i) = 0$	$\boxed{\rho^i + p \partial^i v^i = 0}$	balances of mass
	$\frac{\partial (\rho a)}{\partial t} + \partial (\rho a v^i + j_A^i) = \sigma_A$	$\rho a^i + \partial^i j_A^i = \sigma_A$	balances of A

Balance of momentum - Cauchy equation

$$\rho = v^i / j_{mom}^i = p^i / \sigma_{mom}^i = \rho f^i$$

$$\frac{\partial \rho v^i}{\partial t} + \partial (\rho v^i v^i + p^i) = \rho f^i \quad \boxed{\rho v^i + \partial^i p^i = \rho f^i}$$

Energies

Total energy:  $\sigma_T = 0$  conserved

$$\partial_t(\rho e_T) + \partial^i(\rho e_T v^i + J_T^i) = 0 \quad \rho e_T + \partial_i j^i = 0$$

Kinetic energy:  $e_k = \frac{v^2}{2} / f^i = 0$

$$v^i(\rho \dot{v}^i + \partial^j \rho v^j) = \rho v^i \dot{v}^i + v^i \partial^j \rho v^j \Rightarrow \rho \left(\frac{v^2}{2}\right)^{\cdot} + \partial^j(\rho v^j v^i) = \rho^{ij} \partial_i v_j$$

$$\frac{\partial}{\partial t}(\rho \frac{v^2}{2}) + \partial^i(\rho v \frac{v^2}{2} + v^i \rho v^i) = \rho^{ij} \partial_i v_j$$

Internal energy  $e = e_T - e_k$

$$\rho e^i = \rho e_T^i + \rho \left(\frac{v^2}{2}\right)^{\cdot} = -(\partial^i J_T^i) - (\partial^j(v^j \rho v^i)) + \rho^{ij} \partial^k v^k$$

$$\rho e^i + \partial^j(\underbrace{J_T^i + v^j \rho v^i}_{q^i}) = -\rho^{ij} \partial^k v^k$$

$$\frac{\partial \rho e}{\partial t} + \partial^i(\rho e v^i + q^i) = -\rho^{ij} \partial^k v^k$$

Entropy - the concept of local equilibrium

$$dE = T ds - p dV + \mu dN \rightarrow de = T ds - p dv \quad \sigma = \frac{1}{2}$$

$$de = T ds + \frac{p}{\rho^2} d\rho \Rightarrow \boxed{e^i = T s^i + \frac{p}{\rho^2} \rho^i}$$

locally: same EOS and same variables. — ordinary thd.

$$\rho s^i + \partial^j J_s^i = \sigma_s$$

- calculated!

$$J_s^i = \frac{q^i}{T} \quad \sim T ds = Q$$



Reminder: - extended  
- spring

$$\rho \dot{v}^i(e, \rho) + \partial^i \left( \frac{q^i}{T} \right) = \rho \frac{\partial v^i}{\partial t} e^i + \rho \frac{\partial v^i}{\partial x^j} v^j + \partial^i \frac{q^i}{T} =$$

$$= \frac{1}{T} (\rho e^i) - \frac{\rho}{T} v^i + \partial^i \left( \frac{q^i}{T} \right) = -\frac{1}{T} (\partial^i q^i + \rho v^i \partial^i v^i) + \frac{\rho}{T} \partial^i v^i + \frac{1}{T} \partial^i q^i + q^i \partial^i \frac{1}{T}$$

$$= q^i \partial^i \frac{1}{T} - \frac{1}{T} (\rho \delta^{ij} - \rho v^i v^j) \partial^i v^j \geq 0$$

A) No dissipation:  $q^i = 0$  /  $\rho^{ij} = \rho \delta^{ij}$  Pascal

$$\begin{cases} \rho e^i = \rho \partial^i v^i \\ \rho v^i + \partial_{i,j} p = 0 \end{cases} \quad \text{Euler equation}$$

B) Constitutive theory

$q^i$	$\partial^i \frac{1}{T}$	Thermal
$\rho^{ij} = \rho \delta^{ij} - \rho v^i v^j$	$\partial^i v^j$	Mechanical
FLUX	FORCE	

$$- q^i \left( \partial^i \frac{1}{T}, \partial^i v^j \right) = \hat{\lambda} \partial^i \frac{1}{T} = - \frac{\hat{\lambda}}{T^2} \partial^i T = - \lambda \partial^i T$$

$\lambda$  - Fourier's heat conduction coefficient

$$- \rho^{ij} \left( \partial^i \frac{1}{T}, \partial^i v^j \right) = - \underbrace{\eta_v \partial^k v^k \delta^{ij}}_{\text{volume}} - \underbrace{\eta (\partial^i v^j + \partial^j v^i)}_{\text{shear viscosity}}$$

$$\bar{P} = p \bar{I} - \eta_v \nabla \cdot \bar{v} \bar{I} + 2\eta (\nabla \cdot \bar{v})^S \quad \text{Newton's stress pressure}$$

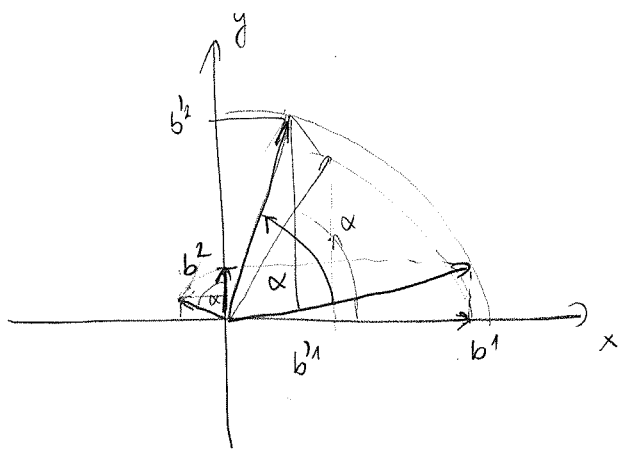


Example:

$$b^i = \begin{pmatrix} b^1 \\ b^2 \end{pmatrix}$$

$$b'^i = \begin{pmatrix} b'^1 \\ b'^2 \end{pmatrix} = \begin{pmatrix} \cos\alpha & -\sin\alpha \\ \sin\alpha & \cos\alpha \end{pmatrix} \begin{pmatrix} b^1 \\ b^2 \end{pmatrix}$$

$$= \begin{pmatrix} \cos\alpha b^1 - \sin\alpha b^2 \\ \sin\alpha b^1 + \cos\alpha b^2 \end{pmatrix}$$



Check:

$$\begin{pmatrix} \cos\alpha & -\sin\alpha \\ \sin\alpha & \cos\alpha \end{pmatrix} \begin{pmatrix} \cos\alpha & \sin\alpha \\ -\sin\alpha & \cos\alpha \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \quad \det O = 1$$

Def: If  $\phi(a, b^i, c^i) = \phi(a, O^i_j b^j, O^i_k O^l_k c^l)$ , then  $\phi$  is isotropic.

Isotropic invariants:

		iso. inv.
scalar	$a$	$a$
vector	$b^i$	$b^i b^i$
<u>symmetric</u> tensor	$c^i$	$c^i_i (= +tr c)$ ; $c^i_j c^j_i (= +tr(\bar{c} \cdot \bar{c}))$ ; $c^i_j c^j_k c^k_i (= +tr c^3)$

Theorem (representation)

$\phi(a, b^i, c^i)$  is isotropic function if and only if it depends on an irreducible set of isotropic invariants of its variables.

$$\phi(a, b^i, c^i) = f(a, b^i b^i, c^i_i, c^i_j c^j_i, c^i_j c^j_k c^k_i, b^i c^i_j c^j_i, b^i c^i_j c^j_k c^k_l c^l_i)$$

$$\phi(a, \bar{b}, \bar{c}) = f(a, \bar{b}^L, +tr \bar{c}, +tr(\bar{c} \cdot \bar{c}), +tr \bar{c}^3, \bar{b} \cdot \bar{c} \cdot \bar{b}, \bar{b} \cdot \bar{c} \cdot \bar{c} \cdot \bar{b})$$

Example: Entropy production:

$$\sigma_s = q^i \frac{\partial^i T}{x_i} - \frac{1}{T} \underbrace{(p^{ij} - p \delta^{ij})}_{\Pi^{ij}(x^i)} \frac{\partial^i v^j}{x_2^{ij}} \geq 0$$

$\sigma_s$  - isotropic  
- quadratic

$$\left. \begin{matrix} q^i(x_1^i, x_2^{ij}) \\ \Pi^{ij}(x_1^i, x_2^{ij}) \end{matrix} \right\} \text{linear}$$

$$\sigma_s(x_1^i, x_2^{ij}) = \lambda x_1^i x_1^i - \eta (x_2^{ij})^2 - 2\eta x_2^{ij} x_2^{ji} =$$

$$= q^i x_1^i - \Pi^{ij}(x_1^i, x_2^{ij}) x_2^{ij}$$

$$\det A = \frac{1}{6}(4A)^3 -$$

$$3 + 4A + A^2 + 2 + 4A^3$$

$$\Rightarrow q^i = \lambda x_1^i = \lambda \frac{\partial^i T}{T} = - \frac{\lambda}{T^2} \partial^i T$$

$$\Pi^{ij} = p^{ij} - p \delta^{ij} = -\eta_\nu x_2^{kl} \delta^{ij} - 2\eta x_2^{ij}$$

$$p^{ij} - p \delta^{ij} = \Pi^{ij} = -\eta_\nu \partial^k v^l \delta^{ij} - \eta (\partial^i v^j + \partial^j v^i)$$

Beyond local equilibrium

$$p e^i + \lambda q^i = 0$$

$$s(e, q^i) = s(e - \lambda \frac{q^2}{2})$$

$$p e^i + \lambda \frac{q^i}{T} = \frac{1}{T} (p e^i - \lambda \frac{q^i q^i}{T}) + \lambda \frac{q^i}{T} = - \frac{\lambda}{T} - \frac{\lambda}{T} q^i q^i + q^i \frac{\lambda}{T}$$

$$+ \lambda \frac{q^i}{T} = q^i \left( - \frac{\lambda}{T} q^i + \frac{\lambda}{T} \right) \geq 0$$

state:  $e, q^i$

constitutive function:  $q^i$

$$q^i = - \lambda \frac{p e^i}{T} - \frac{\lambda}{T} \partial^i T \Rightarrow \tau q^i + q^i + \hat{\lambda} \partial^i T = 0$$

Cattaneo - Vernotte

$$e = cT$$

$$rc\ddot{T} + \partial^i \dot{q}^i = 0$$

$$\int \partial_i \dot{q}^i + \partial^i q^i + \hat{\Lambda} \partial^{ii} T = 0$$

$$rc\ddot{T} - \frac{1}{J} \partial^i q^i - \frac{\hat{\Lambda}}{J} \partial^{ii} T = rc\ddot{T} + \frac{rc}{J} \dot{T} - \frac{\hat{\Lambda}}{J} \partial^{ii} T = 0$$
  
$$-rc\dot{T}$$

$$\ddot{T} + \dot{T} - \frac{\hat{\Lambda}}{rc} \partial^{ii} T = 0$$

telegraph equation

- finite speed
- internal variables

Mechanics - rheology; (2010) 45 - 47

Summary

- universality / stability

Theories:

D, discrete (t)

C, continuum (t, x<sup>i</sup>) state

- D, - ordinary (quasistatic) : (e, v (= 1/ρ))
- extended (non-equilibrium) : (e, v, u = v')

static eos : (p, T) - body

dynamic eos : (q, w, f) - body + environment

11<sup>nd</sup> Law : - stability (m, D<sup>2</sup>s, s<sup>□</sup>)  
Kirpounov

- C, local equilibrium - e, p fluid
- e, σ<sup>ij</sup> solid

static e.o.s : p, T - local

dynamic eos : q<sup>i</sup>, p<sup>ij</sup> - weakly nonlocal (∇)  
(constitutive)

non-equilibrium : (e, p, q<sup>i</sup>) Cattaneo-Vernotte

2<sup>nd</sup> Law : stability of homogeneous equilibrium

**UNIVERSALITY**